

STATE OF MINNESOTA  
 COUNTY OF CARVER

**FILED**  
 AUG 11 2016  
**CARVER COUNTY COURTS**

DISTRICT COURT  
 FIRST JUDICIAL DISTRICT  
 PROBATE DIVISION  
 Case Type: Special Administration

In the Matter of:

Court File No. 10-PR-16-46  
 Honorable Kevin W. Eide

Estate of Prince Rogers Nelson,

Deceased.

**INTERIM  
 ORDER REGARDING ESTATE  
 ADMINISTRATION FOLLOWING THE  
 COURT'S JULY 28, 2016 ORDER**

Before the Court is the request of the Special Administrator for direction from the Court regarding the sharing of confidential business and genetic testing information subsequent to this Court's Order of July 28, 2016 and the Amended Order dated August 11, 2016. Those Orders address various heirship claims, including a final determination on the merits which excludes a number of parties as heirs of the Decedent. (The excluded parties referenced in this Order are hereafter referred to as the "Excluded Parties.") Furthermore, the Special Administrator, pursuant to this Court's genetic testing protocol, has also concluded that one or more other parties who have submitted to genetic testing do not qualify as heirs under Minnesota law. (The tested parties referenced in this Order are those who have been tested and shown to not be a genetic relative of the Decedent and are hereafter referred to as the "Tested Parties.") While the Court is affording some of the Tested Parties an opportunity to object and seek additional testing, the Special Administrator asks that in the meantime, the Excluded Parties and the Tested Parties not be privy to confidential business information, but be granted an opportunity to request to continue to receive genetic testing information to the extent it might be material to their pending heirship claims.

The Court received one objection to the proposed order from Venita Jackson Leverette.

The Court appreciates the business challenges facing the Special Administrator. The entertainment and other business transactions needed to monetize the Estate are challenging and taking place in a fast-paced marketplace. The need for confidentiality and timely business decision-making is at a premium. Accordingly, the Court respects the request of the Special Administrator to limit the sharing of confidential business information to those parties who have not been excluded as heirs by either this Court's Order of July 28, 2016 and the August 11, 2016 Amended Order, or the genetic testing conducted by the Special Administrator pursuant to the Court's genetic testing protocol.

As to genetic testing results, however, there are certain circumstances for certain of the Excluded Parties and Tested Parties where the ongoing receipt of confidential genetic test result data could be material to the claims of those parties, whether for the purpose of challenging test results or for possible appeal of this Court's judgment resulting from the July 28, 2016 Order and August 11, 2016 Amended Order.

The Court is also finding that the judicial management of this proceeding has become cumbersome and taxing of the Court's judicial resources. The Court's record management system known as MNCIS, has slowed due to the weight of the 459 documents that have been filed to date and the records regarding the service of those documents. Service of documents on the parties, particularly those that are not registered for Eservice, is taking a significant amount of judicial resources.

In her Objection to Special Administrator's Proposed Interim Order, Venita Jackson Leverette argues that the Special Administrator has a fiduciary duty and the Court agrees. This duty extends to the potential heirs and creditors of the Estate. As the Court has excluded Ms.

Leverette as an heir as a matter of law and is not aware of any other monetary claim she has against the Estate, any fiduciary duty owed to Ms. Leverette has ceased, subject to her right of appeal.

The Minnesota State Court Administrator's Court Information Office has created a public website and an email notification system that will still be available to the parties as well as the public. Even if excluded from confidential communications or service of documents, the applicants, including Ms. Leverette, will be able to readily monitor the proceedings.

The Court, like the Special Administrator, is also mindful of the fact that the law does not foreclose the possibility of other heirs being identified in the future, including new claimants seeking to be determined to be a child of the Decedent. That possibility, however, does not interfere with the need of the Special Administrator to conduct the business affairs of the Estate in a confidential, efficient, and profitable manner in the meantime.

Accordingly, based upon all of the files and records to date, the arguments of counsel during the course of the hearings conducted thus far, and this Court's supervision of the Special Administrator's management of the Estate, IT IS HEREBY ORDERED that:

1. As to the sharing and disclosure of confidential business information by the Special Administrator, either pursuant to the Court's governing protocol for confidential business agreements or in the exercise of the Special Administrator's discretion to share confidential business information when not required by any such governing protocol, the Special Administrator is authorized to limit such disclosure to the parties and their counsel who have not been excluded as heirs by either the operation of this Court's Order of July 28, 2016, the August 11, 2016 Amended Order, or a negative genetic test result.

2. Similarly, as to the confidential business information filed by the Special Administrator under seal in connection with its July 29, 2016 motions concerning real estate

transactions, Special Administrator's fees, and attorneys' fees, the Special Administrator is authorized to limit the disclosure of such information to those parties not excluded as heirs by operation of either this Court's Order of July 28, 2016, the August 11, 2016 Amended Order, or a negative genetic test result, with the understanding that the Special Administrator will have the discretion to share such information in a manner that does not compromise any applicable attorney-client and work product protections or hamper the confidentiality needed for future business and tax purposes.

3. To the extent Excluded Parties or Tested Parties seek the right to continue to receive otherwise confidential genetic test data, such party can also seek permission from the Special Administrator for the right to continue to receive that information upon a condition of confidentiality and other conditions deemed appropriate to the Special Administrator, and in the event such permission is denied by the Special Administrator, seek relief from this Court via motion for an appropriate remedy collateral to any right of appeal or pending appeal.

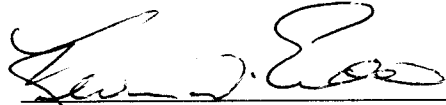
4. In the event that Excluded Parties or Tested Parties receive the permission of the Special Administrator to continue to receive genetic test data, the Special Administrator and the involved party shall submit an appropriate stipulation and corresponding proposed Order for the Court's review and approval.

5. In further effectuating this Court's Order of July 28, 2016 and Amended Order of August 11, 2016, the Court is Ordering that the Excluded Parties shall not receive service of documents filed by the Court.

6. Excluded Parties and their attorneys are directed to remove themselves from Eservice in the court's Electronic File and Serve system (EFS) for this file effective immediately.

7. Finally, this Court reserves its right to modify these procedures upon the request of the Special Administrator or any other party, particularly in light of any future rulings regarding pending heirship claims.

Dated: August 11, 2016



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Kevin W. Eide  
Judge of District Court