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February 12, 1996

Office of Appellate Courts
25 Constitution Avenue
Room 305
St. Paul, MN 55155

OFFICE OF
APPELLATE COURTS
FEB 12 1996
FILED

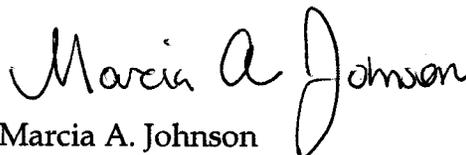
Re: In Re Petition of the Lawyers Professional Responsibility Board
for Amendment of Rules Relating to Registration of Attorneys
Supreme Court File No. C1-81-1206; and
In Re Petition of the Lawyers Professional Responsibility Board
for Amendment of the Rules on Lawyers Professional Responsibility
Supreme Court File No. C1-84-2140

Dear Clerk:

Enclosed are the original and eight copies of:

1. Petition of the Lawyers Professional Responsibility Board for Amendment of Rules Relating to Registration of Attorneys;
2. Statement in Support of Petition of Lawyers Professional Responsibility Board for amendment of rules relating to registration of attorneys; and
3. Petition of the Lawyers Professional Responsibility Board for amendment of Rules 24 and 30, Rules on Lawyers Professional Responsibility.

Very truly yours,



Marcia A. Johnson
Director

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Enclosures

cc: Honorable Alan C. Page
Honorable M. Jeanne Coyne

FILE NO. ~~C9~~-81-1206

OFFICE OF
APPELLATE COURTS

STATE OF MINNESOTA

FEB 12 1996

IN SUPREME COURT

FILED

In Re Petition of the Lawyers
Professional Responsibility
Board for Amendment of Rules
Relating to Registration of
Attorneys.

PETITION

TO: THE SUPREME COURT OF THE STATE OF MINNESOTA:

The Lawyers Professional Responsibility Board hereby petitions the Court to adopt, effective with payments due on and after July 1, 1996, the following amendments to Rule 2, Rules for Registration of Attorneys:

RULE 2. REGISTRATION FEE

In order to defray the expenses of examinations and investigation for admission to the bar and disciplinary proceedings, over and above the amount paid by applicants for such admission, with exception hereinafter enumerated, each attorney admitted to practice law in this state and those members of the judiciary who are required to be admitted to practice as a prerequisite to holding office shall hereinafter annually pay to the clerk of the appellate courts a registration fee in the sum of ~~One Hundred Forty-two Dollars (\$142.00)~~ One Hundred Sixty-two Dollars (\$162.00) or in such lesser sum as the court may annually hereinafter determine.

Such fee, or portion thereof, shall be paid on or before the first day of January, April, July, or October of each year as requested by the clerk of the appellate courts.

All sums so received shall be allocated as follows:

\$15.00 to the State Board of Law Examiners

\$7.00 to the State Board of Continuing Legal Education

~~\$100.00~~ \$120.00 to the Lawyers Professional Responsibility Board

\$20.00 to the Minnesota Client Security Fund.

The following attorneys and judges shall pay annual registration fee of ~~Forty-two Dollars (\$42.00)~~ Forty-six Dollars (\$46.00):

(a) Any attorney or judge whose permanent residence is outside the State of Minnesota and who does not practice law within the state;

(b) Any attorney who has not been admitted to practice for more than three years;

(c) Any attorney while on duty in the armed forces of the United States.

The ~~Forty-two Dollars (\$42.00)~~ Forty-six Dollars (\$46.00) so received shall be allocated as follows:

\$15.00 to the State Board of Law Examiners

\$7.00 to the State Board of Continuing Legal Education

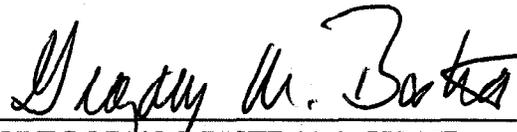
~~\$20.00~~ \$24.00 to the Lawyers Professional Responsibility Board.

Any attorney who is retired from any gainful employment or permanently disabled, or who files annually with the clerk of the appellate courts an affidavit that he or she is so retired or disabled and not engaged in the practice of law, shall be placed in a fee-exempt category and shall remain in good standing. An attorney claiming retired or permanently disabled status who subsequently resumes active practice of law shall promptly file notice of such change of status with the clerk of the appellate courts and pay the annual registration fee.

Any judge who is retired from any gainful employment or permanently disabled, who no longer serves on the bench or practices law, and who files annually with the clerk of the appellate courts that he

or she is so retired or disabled and no engaged in the practice of law, shall be placed in a fee-exempt category and shall remain in good standing. A judge claiming retired or permanently disabled status who subsequently resumes service on the bench or the active practice of law shall promptly file notice of such change of status with the clerk of the appellate courts and pay the annual registration fee.

Dated: February 12, 1996.



GREGORY M. BISTRAM, CHAIR
LAWYERS PROFESSIONAL
RESPONSIBILITY BOARD

Attorney No. 8503
25 Constitution Avenue, Suite 105
St. Paul, MN 55155-1500
(612) 296-3952

FILE NO. C1-81-1206

OFFICE OF
APPELLATE COURTS

STATE OF MINNESOTA

FEB 12 1996

IN SUPREME COURT

FILED

In Re Petition of the Lawyers
Professional Responsibility
Board for Amendment of Rules
Relating to Registration of Attorneys

STATEMENT IN SUPPORT
OF PETITION OF LAWYERS
PROFESSIONAL
RESPONSIBILITY BOARD

I. INTRODUCTION

The Lawyers Professional Responsibility Board has filed a petition requesting the Minnesota Supreme Court to increase the portion of the annual registration fee payable to the Lawyers Professional Responsibility Board from \$100.00 to \$120.00 for most attorneys, and to increase the sum for other attorneys from \$20.00 to \$24.00. It is expected that no further fee increases would be necessary for four to five years. Importantly, the Minnesota State Bar Association recognizes the need for the increase and supports the Lawyers Board petition. The matter was presented to the MSBA Executive Committee, the Board of Governors and the House of Delegates at or before the mid-year meeting. Attached is a chart on Attorney Registration Fee History and Projections (A. 1).

The normal annual budgeting process is being accelerated this year because of the clear need to increase the amount of the annual attorney registration fee for Lawyers Board use by July 1, 1996. A time line is attached at A. 2. The need for the fee increase is explained as follows.

The need for a fee increase at this time was predicted when the Board last petitioned for an increase in 1992. In its statement in support, the Board projected "that no further fee increases would be necessary for at least four or five years." The requested fee increase is now occasioned by inflation, increased costs associated with the move to the Minnesota Judicial Center in December 1994, and increased costs

associated with increasing demand for services provided by the Director's Office. The increase would serve only to maintain the current services at the increasing level of demand. It does not include any additional attorneys for the Director's Office.

Attached are annual budgets and projections for FY'97 through FY'2001 (A. 3-13). Supreme Court administration recommends a fiscal year balance carry forward of at least 20 percent of annual budget. The carry forward on June 30, 1996, will be only 15 percent of budget. With a \$20 annual increase, effective July 1, 1996, the balance carry forward on June 30, 2001, would be projected to be \$404,893.00, an amount equal to 17 percent of budget. Because projections indicate that expenditures would exceed income thereafter, the carry forward would continue to decline thereafter.

The methods and assumptions used in the projected long term budgeting process are the same as that used in the annual budgeting process. There is, of course, an inherent difficulty in projecting income and expenditures over a four year period, but the process utilized has been remarkably accurate in the past. One continuing variable, when projections are made over a four year period, is the additional number of attorneys each year paying the full registration fee. The projections since FY'92 have assumed that an additional 500 attorneys will each year pay the full registration fee. As the registration fees are the Board's primary source of income, any fluctuation in this number will affect projections.¹ A more immediate variable will include the level at which rent in the Minnesota Judicial Center is maintained. A third variable is whether, and/or at what cost, the Supreme Court Boards will be required, for the first time, to pay the State for "indirect overhead costs."²

¹ A shortfall in the number of paying attorneys in FY'90 resulted in registration income falling well short of projections. Since FY'92, the number of additional attorneys each year was reduced by 200 to the current level of 500.

² Pursuant to Minn. Stat. § 16A.127, subd. 8, the Legislature now requires an assessment of state overhead costs against all special funds, including attorney registration fees, used to fund the Judicial Branch Supreme Court Boards. The assessment covers overhead, including centralized personnel, payroll, finance, audit, real estate management and procurement functions provided by the Executive Branch agencies. A bill was recently introduced in the Legislature seeking that all attorney registration fees be considered exempt from this assessment.

Enclosed at A. 14-29 is information from the most recent survey conducted by the ABA on lawyer discipline systems and allocation of dues and fees. While the ABA materials were published in 1995, they are in fact compilations of data from 1991 and 1992. Hence, while these materials are the most up to date available, they are less useful than they could be with more recent data. It is safe to say, however, that Minnesota registration fees, even with the increase, are about average.

II. INCOME

The Lawyers Board depends almost entirely upon the Supreme Court attorney registration fee as its source of income. The other moneys generated are minimal in nature and are derived from: (1) the Client Security Board, which utilizes the services of an attorney in the Director's Office (one quarter time) and a legal assistant (one quarter time); (2) judgments collected against attorneys publicly disciplined, pursuant to Rule 24, Rules on Lawyers Professional Responsibility; (3) professional corporation fees (\$100.00 for first time registration and \$25.00 thereafter, pursuant to Minn. Stat. § 319A; and (4) miscellaneous funds, such as any profit remaining after the Professional Responsibility Seminar, sale of Panel manuals, etc.

III. EXPENDITURES

Payroll and rental costs account for approximately 81 - 82 percent of expenditures. Payroll increases for FY'96 are limited to a 2.5 percent COLA, plus merit increases of up to 3 percent. Many employees have reached their salary range maximum and receive no merit increase. Most of these employees receive a "stability payment" equal to about \$400 - \$750. As the footnotes indicate, FY'98 - FY'2000 payroll is projected simply by assuming an overall increase of 8 percent. The requested fee increase does not include any additional attorneys for the Director's Office, but would likely accommodate an additional law clerk or clerical person if necessary.

A significant factor in the necessity of a fee increase at this time is the increased rental costs at the Minnesota Judicial Center. The decision to physically consolidate

various appellate courts and the Supreme Court Boards with the Supreme Court was agreed to by the Legislature and the Supreme Court in 1984. In 1985 the Director's Office was asked to project its staffing needs and space requirements to the year 2010. The actual square footage currently allocated to the Director's Office at the Minnesota Judicial Center, including the courtroom and storage space, is 9,988 square feet, the amount of space projected to be needed in 2010. Rental rates for state buildings are set by the Minnesota Department of Finance. FY'95 rates at the Minnesota Judicial Center were \$21.21 a square foot. FY'96 rates are \$23.13 a square foot. As the footnotes indicate, the projection for FY'97 and thereafter has been made assuming an 8 percent increase.³

The Director's Office is in the process of converting its computer system. This project has been anticipated for many years. As the cost is more than the Lawyers Board could budget in any one fiscal year (A. 13), the Board has encumbered or "saved" moneys over the last several years to be dedicated to that project. Most of the money necessary to complete phase 2 of the computer project - the conversion of the data processing function - is already encumbered and is not a significant factor in the need for a fee increase.

IV. ALTERNATE PROJECTIONS

Attached at A. 6-8 are projections showing the estimated incomes and balances forward for FY'97 - FY'2000, assuming a \$15 fee increase. These amounts would be insufficient.

V. CONCLUSION

An increase of \$20 in the annual registration fee is necessary for the period beginning July 1, 1996. The amount is necessary to ensure that present services can be

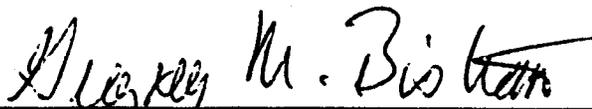
³ As is clear from A. 9, rental costs have increased significantly since the move to the Minnesota Judicial Center. Importantly, since the Lawyers Board is located in Phase 2 of the Minnesota Judicial Center, which has little experience with what the actual operating costs for the building will be, it is difficult to predict what the increase in rental costs will be in the future. As the footnotes at A.10 indicate, we have projected an increase of 8 percent.

maintained, with the increased costs to the system associated with inflation, increased rental costs and increased demand for services.

For the above reasons, the Lawyers Professional Responsibility Board respectfully requests the Minnesota Supreme Court to grant its petition for amendment of rules relating to registration of attorneys.

Dated: February 12, 1996.

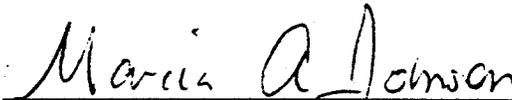
Respectfully submitted,



GREGORY M. BISTRAM, CHAIR
LAWYERS PROFESSIONAL
RESPONSIBILITY BOARD

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and



MARCIA A. JOHNSON
DIRECTOR OF THE OFFICE OF LAWYERS
PROFESSIONAL RESPONSIBILITY
Attorney No. 182333

ATTORNEY REGISTRATION FEE HISTORY AND PROJECTIONS

<u>Fiscal Year</u>	<u>LPRB</u>	<u>Total Fee</u>
FY'85 ¹	\$54/\$11 ² \$70/\$15	\$66/\$23 ² \$82/\$27
FY'86 - FY'88	\$70/\$15	\$82/\$27
FY'89 - FY'91	\$80/\$17	\$102/\$39 ³
FY'92	\$80/\$17	\$122/\$39
FY'93	\$90/\$20	\$132/\$42
FY'94	\$100/\$20	\$142/\$42
FY'95	\$100/\$20	\$142/\$42
FY'96	\$100/\$20	\$142/\$42
FY'97	\$120/\$24 ⁴	⁵
FY'98	\$120/\$24	
FY'99	\$120/\$24	
FY'2000	\$120/\$24	

1. FY'85 increase became effective 10/1/84. Other increases effective on July 1.
2. Non-residents, attorneys not admitted to practice for more than three years and attorneys in armed forces pay lower fee.
3. The Client Security Board assessment is not included in fees prior to FY'92. The Client Security Board assessment of \$20.00 effective 7/1/91 is included thereafter.
4. Lawyers Board portion of fee with proposed increase.
5. Total fees may increase by more than the LPRB amounts. Other Supreme Court Boards may also seek additional funding.

TIME LINE

- | | |
|-------------------------------|--|
| December 1995 | Lawyers Professional Responsibility Board Executive Committee approves budget and fee increase. |
| December 29, 1995 | Paperwork due to MSBA for mid-year meeting. |
| January 1996 | Minnesota State Bar Association (MSBA) Executive Committee approves budget and fee increase. |
| January 5, 1996 | Lawyers Professional Responsibility Board Meeting. |
| January 1996 | File petition for fee increase with Supreme Court. |
| January 1996 | MSBA mid-year meeting. Board of Governors and House of Delegates consider Lawyers Board fee petition. |
| Mid March to early April 1996 | Fee increase information needed by Attorney Registration to allow for programming of fee statements for increase on 7/1. |
| May 23, 1996 | Attorney Registration mails fee statements due 7/1. |
| July 1, 1996 | Fee increase would take effect. |

Revised 2/9/96 **Lawyers Professional Responsibility Board**

FY'96 Income

Summary

Balance Forward In 7/1/95	\$314,207
Computer encumbrance to be spent in FY'96 & FY'97	<u>\$156,900</u>
Revised Balance Forward	\$471,107

Anticipated Income

Atty Reg Fees: 14,500 @ \$100 =	\$1,450,000	
4,859 @ \$20 =	\$97,180	
800 New Admittees @ \$15	\$12,000	
Late fees and fines	<u>\$30,000</u>	
Total Atty Reg. Receipts		\$1,589,180
Other Income: Client Security Fund*	\$20,706	
Judgments*	\$16,932	
Professional Corporations*	\$26,400	
Miscellaneous*	<u>\$3,089</u>	
Total Other		<u>\$67,127</u>
Total Anticipated Income		<u>\$1,656,307</u>

TOTAL AVAILABLE FUNDS	\$2,127,414	
FY'96 ESTIMATED EXPENDITURES	<u>\$1,851,535</u>	
(expenditures include \$82,500 encumbrance for computer)		
ESTIMATED BALANCE 6/30/96	<u>\$275,879</u>	15%

REFLECTS A \$20/\$4 INCREASE EFFECTIVE 7/1/96

FY'97 Income

Summary

Estimated Balance Forward In 7/1/96	\$275,879
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Anticipated Income

Atty Reg Fees: 15,000 @ \$120 =	\$1,800,000	
4,859 @ \$24 =	\$116,616	
800 New Admittees @ \$15	\$12,000	
Late fees and fines	<u>\$30,000</u>	
Total Atty Reg. Receipts		\$1,958,616
Other Income: Client Security Fund*	\$19,666	
Judgments*	\$14,573	
Professional Corporations*	\$26,942	
Miscellaneous*	<u>\$3,174</u>	
Total Other		<u>\$64,355</u>
Total Anticipated Income		<u>\$2,022,971</u>

TOTAL AVAILABLE FUNDS	\$2,298,850	
FY'97 ESTIMATED EXPENDITURES	<u>\$1,892,873</u>	
(expenditures include \$74,400 encumbrance for computer)		
ESTIMATED BALANCE 6/30/97	<u>\$405,977</u>	21%

**FY'98 Income
Summary**

Estimated Balance Forward In 7/1/97		\$405,977	
Anticipated Income			
Atty Reg Fees: 15,500 @ \$120 =	\$1,860,000		
4,859 @ \$24 =	\$116,616		
800 New Admittees @ \$15	\$12,000		
Late fees and fines	<u>\$30,000</u>		
Total Atty Reg. Receipts		\$2,018,616	
Other Income: Client Security Fund	\$19,666		
Judgments	\$14,573		
Professional Corporations	\$26,942		
Miscellaneous	<u>\$3,174</u>		
Total Other		<u>\$64,355</u>	
Total Anticipated Income			\$2,082,971
TOTAL AVAILABLE FUNDS			\$2,488,948
FY'98 ESTIMATED EXPENDITURES			\$1,929,150
ESTIMATED BALANCE 6/30/98		\$559,798	29%



**FY'99 Income
Summary**

Estimated Balance Forward In 7/1/98		\$559,798	
Anticipated Income			
Atty Reg Fees: 16,000 @ \$120 =	\$1,920,000		
4,859 @ \$24 =	\$116,616		
800 New Admittees @ \$15	\$12,000		
Late fees and fines	<u>\$30,000</u>		
Total Atty Reg. Receipts		\$2,078,616	
Other Income: Client Security Fund	\$19,666		
Judgments	\$14,573		
Professional Corporations	\$26,942		
Miscellaneous	<u>\$3,174</u>		
Total Other		<u>\$64,355</u>	
Total Anticipated Income			\$2,142,971
TOTAL AVAILABLE FUNDS			\$2,702,769
FY'99 ESTIMATED EXPENDITURES			\$2,083,483
ESTIMATED BALANCE 6/30/99		\$619,286	30%

**FY'2000 Income
Summary**

Estimated Balance Forward In 7/1/99	\$619,286	
Anticipated Income		
Atty Reg Fees: 16,500 @ \$120 =	\$1,980,000	
4,859 @ \$24 =	\$116,616	
800 New Admittees @ \$15	\$12,000	
Late fees and fines	<u>\$30,000</u>	
Total Atty Reg. Receipts	\$2,138,616	
Other Income: Client Security Fund	\$19,666	
Judgments	\$14,573	
Professional Corporations	\$26,942	
Miscellaneous	<u>\$3,174</u>	
Total Other	<u>\$64,355</u>	
Total Anticipated Income	\$2,202,971	
TOTAL AVAILABLE FUNDS	\$2,822,257	
FY'2000 ESTIMATED EXPENDITURES	\$2,250,161	
ESTIMATED BALANCE 6/30/2000	\$572,096	25%

**FY'2001 Income
Summary**

Estimated Balance Forward In 7/1/2000	\$572,096	
Anticipated Income		
Atty Reg Fees: 17,000 @ \$120 =	\$2,040,000	
4,859 @ \$24 =	\$116,616	
800 New Admittees @ \$15	\$12,000	
Late fees and fines	<u>\$30,000</u>	
Total Atty Reg. Receipts	\$2,198,616	
Other Income: Client Security Fund	\$19,666	
Judgments	\$14,573	
Professional Corporations	\$26,942	
Miscellaneous	<u>\$3,174</u>	
Total Other	<u>\$64,355</u>	
Total Anticipated Income	\$2,262,971	
TOTAL AVAILABLE FUNDS	\$2,835,067	
FY'2001 ESTIMATED EXPENDITURES	\$2,430,174 (108% of FY'2000)	
ESTIMATED BALANCE 6/30/2001	\$404,893	17%

*FY'96 and FY'97 CSB, judgments, professional corp. and misc. income based on 3-year average.
FY'98-FY'2001 same as FY'97

Revised 2/9/96 **Lawyers Professional Responsibility Board**
FY'96 Income
Summary

Balance Forward In 7/1/95	\$314,207
Computer encumbrance to be spent in FY'96 & FY'97	<u>\$156,900</u>
Revised Balance Forward	\$471,107

Anticipated Income

Atty Reg Fees: 14,500 @ \$100 =	\$1,450,000	
4,859 @ \$20 =	\$97,180	
800 New Admittees @ \$15 =	\$12,000	
Late fees and fines	<u>\$30,000</u>	
Total Atty Reg. Receipts	\$1,589,180	
Other Income: Client Security Fund*	\$20,706	
Judgments*	\$16,932	
Professional Corporations*	\$26,400	
Miscellaneous*	<u>\$3,089</u>	
Total Other	\$67,127	
Total Anticipated Income		<u>\$1,656,307</u>

TOTAL AVAILABLE FUNDS	\$2,127,414	
FY'96 ESTIMATED EXPENDITURES	<u>\$1,851,535</u>	
(expenditures include \$82,500 encumbrance for computer)		
ESTIMATED BALANCE 6/30/96	<u>\$275,879</u>	15%

REFLECTS A \$15/\$3 INCREASE EFFECTIVE 7/1/96

FY'97 Income
Summary

Estimated Balance Forward In 7/1/96	\$275,879
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Anticipated Income

Atty Reg Fees: 15,000 @ \$115 =	\$1,725,000	
4,859 @ \$23 =	\$111,757	
800 New Admittees @ \$15 =	\$12,000	
Late fees and fines	<u>\$30,000</u>	
Total Atty Reg. Receipts	\$1,878,757	
Other Income: Client Security Fund*	\$19,666	
Judgments*	\$14,573	
Professional Corporations*	\$26,942	
Miscellaneous*	<u>\$3,174</u>	
Total Other	\$64,355	
Total Anticipated Income		<u>\$1,943,112</u>

TOTAL AVAILABLE FUNDS	\$2,218,991	
FY'97 ESTIMATED EXPENDITURES	<u>\$1,892,873</u>	
(expenditures include \$74,400 encumbrance for computer)		
ESTIMATED BALANCE 6/30/97	<u>\$326,118</u>	17%

**FY'98 Income
Summary**

Estimated Balance Forward In 7/1/97	\$326,118	
Anticipated Income		
Atty Reg Fees: 15,500 @ \$115 =	\$1,782,500	
4,859 @ \$23 =	\$111,757	
800 New Admittees @ \$15 =	\$12,000	
Late fees and fines	<u>\$30,000</u>	
Total Atty Reg. Receipts		\$1,936,257
Other Income: Client Security Fund	\$19,666	
Judgments	\$14,573	
Professional Corporations	\$26,942	
Miscellaneous	<u>\$3,174</u>	
Total Other		<u>\$64,355</u>
Total Anticipated Income		\$2,000,612
TOTAL AVAILABLE FUNDS		\$2,326,730
FY'98 ESTIMATED EXPENDITURES		<u>\$1,929,150</u>
ESTIMATED BALANCE 6/30/98	<u>\$397,580</u>	21%

**FY'99 Income
Summary**

Estimated Balance Forward In 7/1/98	\$397,580	
Anticipated Income		
Atty Reg Fees: 16,000 @ \$115 =	\$1,840,000	
4,859 @ \$23 =	\$111,757	
800 New Admittees @ \$15 =	\$12,000	
Late fees and fines	<u>\$30,000</u>	
Total Atty Reg. Receipts		\$1,993,757
Other Income: Client Security Fund	\$19,666	
Judgments	\$14,573	
Professional Corporations	\$26,942	
Miscellaneous	<u>\$3,174</u>	
Total Other		<u>\$64,355</u>
Total Anticipated Income		\$2,058,112
TOTAL AVAILABLE FUNDS		\$2,455,692
FY'99 ESTIMATED EXPENDITURES		<u>\$2,083,483</u>
ESTIMATED BALANCE 6/30/99	<u>\$372,209</u>	18%

**FY'2000 Income
Summary**

Estimated Balance Forward In 7/1/99		\$372,209	
Anticipated Income			
Atty Reg Fees: 16,500 @ \$115 =	\$1,897,500		
4,859 @ \$23 =	\$111,757		
800 New Admittees @ \$15 =	\$12,000		
Late fees and fines	<u>\$30,000</u>		
Total Atty Reg. Receipts		\$2,051,257	
Other Income: Client Security Fund	\$19,666		
Judgments	\$14,573		
Professional Corporations	\$26,942		
Miscellaneous	<u>\$3,174</u>		
Total Other		<u>\$64,355</u>	
Total Anticipated Income		<u>\$2,115,612</u>	
TOTAL AVAILABLE FUNDS		\$2,487,821	
FY'2000 ESTIMATED EXPENDITURES		<u>\$2,250,161</u>	
ESTIMATED BALANCE 6/30/2000		<u>\$237,660</u>	11%

**FY'2001 Income
Summary**

Estimated Balance Forward In 7/1/2000		\$237,660	
Anticipated Income			
Atty Reg Fees: 17,000 @ \$115 =	\$1,955,000		
4,859 @ \$23 =	\$111,757		
800 New Admittees @ \$15 =	\$12,000		
Late fees and fines	<u>\$30,000</u>		
Total Atty Reg. Receipts		\$2,108,757	
Other Income: Client Security Fund	\$19,666		
Judgments	\$14,573		
Professional Corporations	\$26,942		
Miscellaneous	<u>\$3,174</u>		
Total Other		<u>\$64,355</u>	
Total Anticipated Income		<u>\$2,173,112</u>	
TOTAL AVAILABLE FUNDS		\$2,410,772	
FY'2001 ESTIMATED EXPENDITURES		<u>\$2,430,174</u>	(108% of FY'2000)
ESTIMATED BALANCE 6/30/2001		<u>(\$19,402)</u>	-1%

*FY'96 and FY'97 CSB, judgments, professional corp. and misc. income based on 3-year average.
FY'98-FY'2001 same as FY'97

FY'93 - FY'2000 ACTUAL AND ANTICIPATED EXPENDITURES

Revised 2/9/95	FY'93 Expend	FY'94 Expend	FY'95 Expend	FY'96 Budget	FY'97 Budget	FY'98 Budget	FY'99 Budget	FY'00 Budget
1. Payroll & Benefits	\$1,019,635	\$1,081,374	\$1,181,346	\$1,272,516	\$1,309,461	\$1,414,218	\$1,527,355	\$1,649,544
2. Rents and Leases	\$92,565	\$90,641	\$159,549	\$231,022	\$249,504	\$269,465	\$291,022	\$314,303
3. Advertising	\$1,468	\$2,903	\$3,407	\$2,405	\$3,196	\$3,451	\$3,727	\$4,025
4. Repair Services	\$11,757	\$11,085	\$5,344	\$12,042	\$13,005	\$14,046	\$15,169	\$16,383
5. Bonds & Insurance	\$1,660	\$1,774	\$2,574	\$2,077	\$2,831	\$3,058	\$3,303	\$3,567
6. Printing & Binding	\$8,806	\$8,228	\$6,351	\$10,934	\$11,809	\$12,753	\$13,774	\$14,876
7. Professional & Tech.	\$13,399	\$12,203	\$20,486	\$14,932	\$17,461	\$18,858	\$20,367	\$21,996
8. Data Processing	\$0	\$0	\$43,097	\$162,500*	\$134,400**	\$30,000	\$32,400	\$34,992
9. Purchased Services	\$11,279	\$13,089	\$16,514	\$48,899	\$51,344	\$55,451	\$59,888	\$64,679
10. Communications	\$22,680	\$20,998	\$25,447	\$25,495	\$26,378	\$28,488	\$30,767	\$33,229
11. Travel In State	\$5,841	\$2,904	\$2,729	\$4,306	\$3,644	\$3,936	\$4,251	\$4,591
12. Travel Out-of-State	\$6,811	\$5,474	\$7,108	\$6,346	\$6,625	\$7,155	\$7,727	\$8,345
13. Fees & Fixed Charges	\$5,240	\$5,104	\$4,663	\$5,462	\$5,330	\$5,757	\$6,217	\$6,714
14. Supplies	\$27,551	\$30,355	\$36,003	\$32,599	\$36,284	\$39,187	\$42,322	\$45,708
15. Furn & Equipment	<u>\$52,364</u>	<u>\$8,717</u>	<u>\$239,824</u>	<u>\$20,000</u>	<u>\$21,600</u>	<u>\$23,328</u>	<u>\$25,194</u>	<u>\$27,210</u>
TOTAL	\$1,281,056	\$1,294,849	\$1,754,442	\$1,851,535	\$1,892,873	\$1,929,150	\$2,083,483	\$2,250,161

*Includes \$80,000 from FY'96 funds plus \$82,500 of the \$156,900 FY'95 funds carried over

**Includes \$60,000 from FY'97 funds plus \$74,400 from FY'95 funds carried over

FY'93 - FY'2000 ACTUAL AND ANTICIPATED EXPENDITURES

FY'97 Footnotes

1. Payroll - See A.12. FY'98 and beyond include 4% COLA and 4% merit increases.
2. Rent - FY'96 Rent at Judicial Center 9988 sq. ft. @ \$23.13 sq. ft. FY'97 assumes 8% increase in rent.
3. Advertising - 110% of 3 year average.
4. Repairs - Misc. Equip Repairs & Service Contracts for Dictaphone, GBC, Xerox, Pitney Bowes, & fax. FY'95 low because new copiers under 1 year warranty. FY'97 is 108% of FY'96. Additional copier purchased for lower level at MJC results in additional cost.
5. Bonds & Insurance - FY'95 increase due to addt'l space. FY'96 underbudgeted. FY'97 is 110% of FY'95.
6. Printing & Binding - Includes monthly billable copies for Xerox, printing of brochures. FY'95 low because no charge on new copiers for 1 year. FY'97 is 108% of FY'96.
7. Prof. & Tech. Services - Includes court reporting, expert witnesses in major litigation and accountants. FY'97 is 110% of 3 year average.
8. Data Processing - Expenses anticipated for analysis and conversion to new computer system. Computer expenditures can be incurred only w/specific S.Ct. approval. Quote received from Macro Group for entire development of new data retention + file tracking systemt is \$261,250. See A.13 Addt'l expenses not in Macro Group proposal include software and training for existing computer system.
9. Purchased Services - Includes Board Member expenses, Board meeting expenses, DEC seminar expenses and bank searches. FY'96 large increase due to Supreme Court Assessment.
10. Communications - Includes postage, WATS, telephone, toll-free line. FY'97 is 110% of 3 year average.
11. Travel In-State - Reimbursement of employee travel expenses. FY'93 is high due to Advisory Comm. expenses. FY'97 is 110% of 3 year average.
12. Travel Out-Of-State- FY'97 is 105% of 3 year average.
13. Fees & Fixed Charges - Includes memberships, training expenses and service fees. FY'97 is 105% of 3 year average.

FY'93 - FY'2000 ACTUAL AND ANTICIPATED EXPENDITURES

14. Supplies - General office supplies and furniture under \$500. FY'97 is 110% of 3 year average expended.

15. Furniture & Equipment -

FY'93 includes \$41,351 for word proc computer hardware, \$3,190 for computer stands, \$6,661 furniture + \$1,162 bookcases

FY'94 includes \$4,372 for new computers and \$4,345 for upgrading office furniture.

FY'95 includes \$51,639 for new copiers, \$18,744 new phone system, \$43,284 Classic Design for new furn for move, \$13,000 elevator at MJC, \$16,984 construction costs, \$87,705 for new computers and \$8,468 new office furnishings.

FY'96 includes \$20,000 for miscellaneous purchases.

FY'97 is 108% of FY'96

FY'98-FY'2000 Footnotes

All line items are increased by 8% per year except data processing.

Data processing computer project will be complete and \$30,000 figure for FY'98 will allow for maintenance, software and upgrades.

FY'99 and FY'2000 data processing increased by 8%.

OFFICE OF LAWYERS PROFESSIONAL RESPONSIBILITY FY'97 PAYROLL 2/5/96

Review Date	Employee Name	7/10/96 Salary	FY'97 Salary	FICA=7.65% MSRS=4.20%	Ins.	FY'97 Total
9/8	Johnson-1	\$76,980	\$79,384	\$9,801	\$5,400	\$94,585
10/6	K. Jorgensen-2	\$70,184	\$71,078	\$9,183	\$5,400	\$85,660
4/27	Hojan-3	\$66,907	\$67,700	\$7,712	\$5,000	\$80,412
1/6	Cole-4	\$66,905	\$67,750	\$7,715	\$5,000	\$80,465
2/12	Shaw-5	\$66,905	\$67,700	\$7,712	\$5,000	\$80,412
5/8	Burns-6	\$60,949	\$61,267	\$7,260	\$5,000	\$73,527
1/4	Burke-7	\$50,640	\$52,217	\$6,188	\$5,000	\$63,405
12/20	Granison-8	\$46,015	\$48,378	\$5,733	\$5,000	\$59,111
6/13	Klausing-9	\$46,008	\$46,048	\$5,457	\$5,000	\$56,504
10/16	Cooperstein-10	\$41,780	\$42,894	\$5,083	\$5,000	\$52,977
7/21	P. Jorgensen-11	\$17,817	\$18,221	\$2,159	\$0	\$20,380
4/24	Welle-12	\$35,635	\$36,092	\$4,277	\$5,000	\$45,369
9/27	McMahon-13	\$34,940	\$36,150	\$4,284	\$2,500	\$42,934
6/19	Drinane-14	\$31,269	\$31,297	\$3,709	\$5,000	\$40,006
1/13	Nelson-15	\$36,829	\$33,622	\$3,984	\$5,000	\$42,606
2/21	Daubenspeck-16	\$42,800	\$43,804	\$5,191	\$5,000	\$53,995
10/19	Trejo-17	\$33,941	\$34,851	\$4,130	\$5,000	\$43,981
2/22	Krueger-18	\$32,551	\$33,352	\$3,952	\$2,500	\$39,804
2/26	Capecchi-19	\$30,988	\$31,786	\$3,767	\$5,000	\$40,553
3/24	Peerman-20	\$29,989	\$30,984	\$3,672	\$5,000	\$39,655
8/16	Jungmann-21	\$26,949	\$27,556	\$3,265	\$2,500	\$33,321
8/1	Hennen-22	\$29,229	\$30,032	\$3,559	\$5,000	\$38,591
7/5	Breidel-23	\$22,041	\$22,880	\$2,711	\$5,000	\$30,591
7/1	Delmonico-24	\$11,021	\$13,540	\$1,604	\$2,500	\$17,645
	Engbretson-25	\$13,663	\$13,663	\$1,619	\$0	\$15,282
Supreme Court Employees						
1/1	*Wicker-26	\$3,099	\$3,179	\$377	\$500	\$4,055
11/9	*Ahlgren-27	\$2,923	\$3,003	\$356	\$500	\$3,859
3/1	*Marchio-28	\$21,070	\$21,614	\$2,561	\$3,400	\$27,576
	Overtime and work comp-29					<u>\$2,200</u>
	*OLPR portions of S.Ct. employee salaries					\$1,309,461

A.12

BUDGET

Projected costs for the development and implementation of the Complaint Tracking System, and related equipment and software upgrades are detailed in the following table. These estimates, in particular the application development component, should be considered to be on the high end.

Life cycle costs, including maintenance are highly subjective at this point. Based on experience, however, system changes can be expected at an annual cost of 15-20% of the initial development. Changes during the earlier years might be on the high end of this estimate, as the organization embraces the new system, and discovers additional opportunities to take advantage of new capabilities. The scope and rate of change will also be highly dependent on external forces which influence information management requirements.

<u>COMPONENT</u>	<u>ESTIMATED COST</u>
Equipment	
25 - P75 Desktops (1 @ \$2,750)	\$68,750
1 - P120 Database Server with UPS	\$6,500
1 - P120 File Server with UPS	\$6,500
Software	
1 - Microsoft SQL SERVER for NT	\$1,000
2 - WINDOWS NT 3.5 (1 @ \$700)	\$1,400
1 - POWERBUILDER - Desktop Version	\$500
Project Management (60 days @ \$800)	\$48,000
Applications Development (185 days @ \$600)	\$111,000
Temporary Support during data conversion and supplemental help during implementation (60 days @ \$200 per day)	\$12,000
Technical Support (10 days @ \$560 per day)	\$5,600
TOTAL	\$261,250

Survey on Lawyer Discipline Systems 1991-92

A.14

American Bar Association
Center for Professional Responsibility
Standing Committee on Professional Discipline

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CHART V

STATE-BY-STATE BUDGET FOR DISCIPLINE, 1992

SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility

<u>STATE</u>	<u>Total Number of Lawyers with Active Licenses</u>	<u>Total Lawyer Discipline Budget (\$)</u>	<u>Budget Allocation Per Lawyer (\$)</u>	<u>Total Discipline Counsel Office Budget (\$)</u>	<u>Total Discipline Board Admin. Budget (\$)</u>	<u>Source of Funding</u>
AL	7,143	664,138	93	NR	NR	100% Bar Association earmarked dues ¹
AK	2,506	472,653	187	NR	NR	100% Bar Association earmarked dues
AZ	9,593	1,129,263	118	NR	NR	97% Bar Association earmarked dues; 3% Recovery of costs
AR	6,450	142,484	22	129,915	12,569	100% Supreme Court General Budget
CA	A.15 113,716	37,189,000	292	7,287,000	6,004,000	94% Legislative mandate fees; 6% other ²
CO	15,586	1,465,000	94	1,058,116	406,884 ³	100% Court assessed earmarked fees
CT	21,940	NR	NR	600,000	NR	100% Legislative appropriation
DE	2,200	200,000	91	200,000	0	100% Court assessed earmarked fees
DC	59,421	1,578,502	27	NR	NR	100% Bar Association earmarked dues
FL	46,453	6,019,988	130	NR	NR	92.6% Bar Association earmarked dues, 7.4% Costs of reimbursements

¹ AL - Funding comes from license and membership dues.

² CA - Interest Income, Law Corporation Fees, Sales of Pamphlets, Convention Income, Grants.

³ CO - Includes all registration clerks.

CHART V

STATE-BY-STATE BUDGET FOR DISCIPLINE, 1992

SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility

<u>STATE</u>	<u>Total Number of Lawyers with Active Licenses</u>	<u>Total Lawyer Discipline Budget (\$)</u>	<u>Budget Allocation Per Lawyer (\$)</u>	<u>Total Discipline Counsel Office Budget (\$)</u>	<u>Total Discipline Board Admin. Budget (\$)</u>	<u>Source of Funding</u>
GA	19,315	1,458,857	76	NR	NR	100% Bar Dues
HI	3,866	733,350	190	NR	NR	100% Court Assessed earmarked dues
ID	2,500	200,912	80	183,312	17,600	100% Bar Association earmarked dues
IL	61,107	5,840,547	96	5,022,870	817,677 ⁴	100% Court Assessed earmarked fees
IN	12,093	611,502	51	NR	NR	100% Court Assessed earmarked fees
IA	7,239	NR	NR	NR	NR	100% Bar Association earmarked dues
KS	9,380	423,727	45	NR	NR	100% Court Assessed earmarked fees
KY	10,480	297,239	28	NR	NR	100% Bar Association earmarked dues
LA	16,052	NR	NR	NR	NR	NR
ME	4,153	445,700	107	445,700	0	93% Court Assessed earmarked fees; 7% other ⁵
MD	21,380	1,482,000	69	NR	NR	100% Court Assessed earmarked fees
MA	38,500	3,423,633	89	NR	NR	100% Court Assessed earmarked fees

⁴ IL - Total Budget for Registration of Lawyers: \$233,622; Total Budget for Clerk's Office: \$384,055.

⁵ ME - Interest - 3%; Contract with Bar Examiners 4%.

CHART V

STATE-BY-STATE BUDGET FOR DISCIPLINE, 1992

SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility

<u>STATE</u>	<u>Total Number of Lawyers with Active Licenses</u>	<u>Total Lawyer Discipline Budget (\$)</u>	<u>Budget Allocation Per Lawyer (\$)</u>	<u>Total Discipline Counsel Office Budget (\$)</u>	<u>Total Discipline Board Admin. Budget (\$)</u>	<u>Source of Funding</u>
MI *	NR	NR	NR	NR	NR	
MN	18,778	1,396,050	74	NR	NR	95% Court Assessed earmarked fees; 5% other ⁶
MS	4,900	338,750	69	332,250	6,500	100% Bar Association earmarked dues
MO	15,201	998,200	66	895,400 ⁷	102,800	100% Court Assessed earmarked fees
MT *	NR	NR	NR	NR	NR	
NE	5,166	229,500	44	229,500	0	100% General Bar Budget
NV	3,500	375,000	107	NR	375,000	100% Bar Association earmarked dues
NH	3,294	362,794	110	0	362,794	100% Court Assessed earmarked fees
NJ	49,890	2,374,606 ⁸	48	1,742,477	632,129	100% Court Assessed earmarked fees

A.17

* MN - Other represents Professional Corporation, Judgments.

⁷ MO - Percentage of maximum fee, Advisory Committee & Chief Disciplinary Counsel's Office receives \$56 from each annual fee, resident and non resident. Balance goes to MO Bar administered by Board of Governors.

⁸ NJ - Additional Expenditures: Random Audit Program - \$388,746; Mandatory Fee Arbitration - \$140,310; Registration of Attorneys - \$152,942.

CHART V

STATE-BY-STATE BUDGET FOR DISCIPLINE, 1992

SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility

<u>STATE</u>	<u>Total Number of Lawyers with Active Licenses</u>	<u>Total Lawyer Discipline Budget (\$)</u>	<u>Budget Allocation Per Lawyer (\$)</u>	<u>Total Discipline Counsel Office Budget (\$)</u>	<u>Total Discipline Board Admin. Budget (\$)</u>	<u>Source of Funding</u>
NM	5,201	387,138	74	320,088	67,050	100% Court Assessed earmarked fees
NY (1st Jud. Dept.)	55,000	1,800,000	33	1,800,000	0	100% Legislative appropriation
NY (2nd & 11th Jud. Dist.)	NR	NR	NR	NR	NR	NR
NY (2nd Dept. 9th Jud. Dist.)	10,215	765,994	75	765,994	0	100% Legislative appropriation
NY (3rd Jud. Dept.)	6,628	447,229	68	447,229	0	100% Attorney Registration Dues
NY (4th Jud. Dept.)	9,478	927,971	98	927,971	0	100% Attorney Licensing Fund
NC	13,247	NR	NR	NR	NR	NR
ND	1,672	175,364	105	NR	NR	79% Legislative Appropriation; 21% State Bar Association earmarked dues
OH	40,338	1,317,532	33	880,296	437,236	NR
OK	12,885	717,297	56	NR	NR	100% Bar Association earmarked dues

CHART V

STATE-BY-STATE BUDGET FOR DISCIPLINE, 1992

SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility

<u>STATE</u>	<u>Total Number of Lawyers with Active Licenses</u>	<u>Total Lawyer Discipline Budget (\$)</u>	<u>Budget Allocation Per Lawyer (\$)</u>	<u>Total Discipline Counsel Office Budget (\$)</u>	<u>Total Discipline Board Admin. Budget (\$)</u>	<u>Source of Funding</u>
OR	9,653	714,000	74	674,000	40,000	100% Bar Association earmarked dues
PA	50,655	4,611,501	91	NR	NR	100% Court Assessed earmarked fees
RI	4,600	NR	NR	NR	NR	100% Court Assessed earmarked fees
SC	6,500	65,000	10	0	68,000	100% Supreme Court general budget
SD	1,542	72,000	47	NR	NR	85% Bar Association earmarked dues; 15% Attorney General's Budget
TN	12,480	983,786	79	968,789	15,000	90% Court Assessed earmarked fees; 10% other ⁹
TX	55,319	5,180,262	94	NR	NR	100% Bar Association's General Budget
UT	4,000	500,079	125	500,079	0	100% Bar Association earmarked dues
VT	1,885	152,291	81	146,513	5,778	100% Supreme Court General Budget
VA	18,289	1,600,100	87	1,407,240	192,870	100% Bar Association earmarked dues
WA	NR	NR	NR	NR	NR	NR

⁹ TN - Reimburse of costs by disciplined attorneys; investment earnings.

CHART V

STATE-BY-STATE BUDGET FOR DISCIPLINE, 1992

SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility

<u>STATE</u>	<u>Total Number of Lawyers with Active Licenses</u>	<u>Total Lawyer Discipline Budget (\$)</u>	<u>Budget Allocation Per Lawyer (\$)</u>	<u>Total Discipline Counsel Office Budget (\$)</u>	<u>Total Discipline Board Admin. Budget (\$)</u>	<u>Source of Funding</u>
WV	3,900	68,000	17	NR	NR	11% Bar Association earmarked dues; 89% other
WI	17,407	1,058,300	61	NR	NR	100% Bar Association earmarked dues
WY	1,144	93,460	82	NR	NR	100% Bar Association allocation from dues not earmarked

A.20

- * MI did not respond to the 1992 Survey.
- * MT did not respond to the 1992 Survey.

CHART VI

**ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92**

**SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility**

STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91				92				91	92	91	92
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
AL	X	X	X		X	X	X		150	200	49%	41%
AK	X	X	X		X	X	X		310	310	52%	58%
AZ	X	X	X		X	X	X		150/285 ¹	150/295 ²	40%	39%
AR	X	X	X	X ³	X	X	X	X ³	50	50	NR	NR
CA	X		X		X		X		50/190/380/ 410/478 ⁴	50/189/379/ 410/478 ⁴	75%	75%
CO	X	X	X		X	X	X		0/15/60/90 ⁵	25/75/115 ⁶	100%	90%
CT	NR				X	X	X		450	450	NR	NR

A.21

¹ AZ - 2 years or less/3 years or more.
² AZ - 2 years or less/3 years or more. Annual dues are \$285, the extra \$10 is a Client Security Fund assessment.
³ AR - Includes the retention of outside counsel.
⁴ CA - Inactive/half year (July admtees)/less than 1 year/1 to 3 years/More than 3 years.
⁵ CO - Over 65 and inactive/inactive/1-3 years/4 or more years.
⁶ CT - Inactive/less than 3 years/3 or more years.

CHART VI

**ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92**

**SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility**

STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91				92				91	92	91	92
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
DE	X	X	X		X	X	X		60/115/205 ⁷	60/115/205 ⁷	100%	100%
DC	X	X	X		X	X	X		75	95	38.7%	34%
FL	X	X	X	X	X	X	X	X	190	140/190 ⁸	55.7%	52.9%
GA	X	X	X	X ⁹	X	X	X		135	135	33%	43%
HI	X	X	X		X	X	X	X ¹⁰	100/200 ¹¹	100/200 ¹¹	100%	100%
ID *	NR				X	X	X		NR	45/125/250 ¹²	NR	34%

A.22

⁷ DE - 0-5 years/5-10 years/More than 10 years.

⁸ FL - Inactive/Not specified.

⁹ GA - Includes Costs of Litigation.

¹⁰ HI - Includes Professional services; Investigative and Litigation expenses; Capital expenses.

¹¹ HI - 4 years or less/5 years or more.

¹² ID - Age 72 or older/1-3 years/less than 3 years.

CHART VI

**ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92**

**SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility**

STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91				92				91	92	91	92
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
IL	X	X	X		X	X	X		70/140 ¹³	70/140 ¹⁴	100%	100%
IN	X	X	X		X	X	X		65	75	100%	80%
IA	NR				X	X	X		0/75/125/175 ¹⁵	175	50%	50%
KS	X	X	X		X	X	X		30/60 ¹⁶	60	100%	100%
KY	X		X		X		X		56/120/175 ¹⁷	56/120/175 ¹⁷	30%	30%
LA	X	X	X		NR				65/145 ¹⁸	NR	100% ¹⁹	NR

¹³ IL - More than 1 year and less than 3 years/3 years to age 75.

¹⁴ IL - Less than 1 year/More than 1 year and less than 3 years/3 years to age 75.

¹⁵ IA - More than 1 year and less than 3 years/3-4 years/5 or more years.

¹⁶ KS - Inactive/Active.

¹⁷ KY - Judges/5 years or less/6 years or more.

¹⁸ LA - 3 years or less (\$40 bar dues; \$25 disciplinary assessment)/More than 3 years (\$100 dues; \$45 disciplinary assessment).

¹⁹ 0% of disciplinary assessment plus \$100,000 from bar dues.

CHART VI

**ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92**

**SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility**

STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91				92				91	92	91	92
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
ME	X	X	X		X	X	X	X ²⁰	25/45/145 ²¹	25/35/145 ²¹	100%	100%
MD	X		X		X		X	X ²²	85	85	76%	76%
MA	X	X	X		X	X	X	X	15/25/85/125 ²³	15/27.50/90/130 ²³	NR	100%
MI *	X	X	X		NR				200	NR	29%	NR
MN	X	X	X		X	X	X		39/122 ²⁴	42/132 ²⁴	66%	68%
MS	X	X	X	X	X	X	X		50/110/160 ²⁵	50/110/160 ²⁶	NR	39.6%

A.24

²⁰ ME - Includes Travel, Conferences, Library, Insurance.

²¹ ME - Over 50 years/less than 3 years/3-49 years.

²² MD - Includes investigative costs; transcripts; volunteer expenses.

²³ MA - Over 50 years/out-of-state active/less than 5 years/5-50 years

²⁴ MN - Less than 3 years/3 or more years.

²⁵ MS - Less than 1 year/1-3 years/3 or more years.

²⁶ MS - Inactive or less than 1 year/1-3 years/3 or more years.

CHART VI

**ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92**

**SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility**

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STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91				92				91	92	91	92
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
MO	X	X	X		X	X	X		113/123/163 ²⁷	113/123/163 ²⁷	34%	34%
MT *	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR
NE	X	X	X		X	X	X		27/41/103/205 ²⁸	27/41/103/205 ²⁹	22%	19%
NV	X	X	X		X	X	X		25/75/125/150 ³⁰	25/75/150/250 ³¹	23%	24%
NH	X	X	X		X	X	X		95/105/125/175 ³²	104/114 ³³	NR	100%
NJ	X		X		X		X		0/70/115 ³⁴	0/90/125 ³⁴	NR	NR

²⁷ MO - Nonresident/Resident w/ 3 years or less/Resident w/ over 3 years.

²⁸ NE - Inactive/Sr. Active/Jr. Active/Active and Judicial Active.

²⁹ NE - Inactive/75 years of age and Sr. Active/0-5 years and Junior active/5 or more years active.

³⁰ NV - Inactive/Judges/5 years or less/5 years or more.

³¹ NV - Inactive without service/inactive with service/5 years or less/5 years or more.

³² NH - Professional Conduct Committee (PCC) dues less than 5 years/PCC dues 5 years or more/Bar dues less than 5 years/Bar dues 5 years or more.

³³ NH - 1-4 years/5 or more years.

CHART VI

**ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92**

**SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility**

STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91				92				91	92	91	92
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
NM	X	X	X	X ³⁵	X	X	X	X ³⁵	225	225	22%	30%
NY (1st Jud. Dept.)	X		X		X		X		150	150	25%	25%
NY (2nd & 11th Jud. Dist.)	X		X		X		X		300 Bi-Annual	300 Bi-Annual	0%	NR
NY (9th Jud. Dist.)	X	X	X		X	X	X		300 Bi-Annual	300 Bi-Annual	NR	NR
NY (3rd Jud. Dept.)	X		X		X	X	X		150	150	NR	NR
NY (4th Jud. Dept.)	X	X	X		X	X	X		300 Bi-Annual	300 Bi-Annual	NR	NR
NC	X		X		NR				150	135	56%	NR
ND	X	X	X		X	X	X	X ³⁶	50/175/200/230 ³⁷	50/175/200/230 ³⁷	0%	0%
OH	X	X	X		X	X	X		100 Bi-Annual	100 Bi-Annual	NR	NR

³⁵ NM - Includes phone, postage staff travel, taxes.

³⁶ ND - Operations, including hearing costs and expenses.

³⁷ ND - Admitted after Sept. 1 (paying for remainder of same year)/1 year or less/1-5 years/5 years or more.

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CHART VI

ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92

SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility

STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91				92				91	92	91	92
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
OK	X	X	X		X	X	X		0/88/175 ³⁸	0/88/175 ³⁸	25%	21%
OR	X	X	X	X ³⁹	X	X	X	X ³⁹	25/226/290 ⁴⁰	25/262/326	11% ⁴¹	12% ⁴²
PA	X	X	X		X	X	X	X ⁴³	125	125	84%	84%
RI	X	X	X	X ⁴⁴	X	X	X		175	290/375 ⁴⁵	100%	100%
SC	X		X		X		X		80/150 ⁴⁶	70/140 ⁴⁷	0%	0%

- ³⁸ OK - 0-1 years practice, over 70 years old/1-3 years/4 or more years.
- ³⁹ OR - Includes court reporters, litigation expenses, witness fees, board expenses, overhead.
- ⁴⁰ OR - Greater than 50 years in practice/less than 2/all other.
- ⁴¹ OR - 11% of total bar budget, but only 45% of budget revenue comes from dues.
- ⁴² OR - 12% of total bar budget, but only 45% of budget revenue comes from dues.
- ⁴³ PA - Includes witness fees, expert testimony, library upkeep, conservator costs, travel.
- ⁴⁴ RI - Includes outside contract obligations, i.e., steno, expert witness.
- ⁴⁵ RI - Less than 5 years/more than 5 years.
- ⁴⁶ SC - Less than 5 years/more than 5 years. (Both include a \$10 Client Security Assessment).
- ⁴⁷ SC - Less than 5 years/more than 5 years.

CHART VI

ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92

SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility

STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91	91	92	92	91	92	91	92	91	92		
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
SD	X		X		NR	NR	NR		125/250 ⁴⁸	NR	1%	NR
TN	X	X	X	X ⁴⁹	X	X	X	X ⁴⁹	70	70	99%	99%
TX *	NR				X	X	X		NR	68/148/235 ⁵⁰	NR	NR
UT	X	X	X		X	X	X	X ⁵¹	350	0/190/350 ⁵²	25%	25%
VA	X	X	X		X	X	X		NR	NR	45%	46%
VT	X	X	X		X		X		75	100 Bi-Annual	NR	0%
WA	X	X	X		X	X	X		115/195 ⁵³	115/195 ⁵³	20%	24%

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⁴⁸ SD - Less than 5 years/5 or more years.

⁴⁹ TN - Includes travel, experts, audit, court reporting.

⁵⁰ TX - 1-3 years/3-5 years/5 or more years.

⁵¹ UT - Includes mortgage interest and building overhead.

⁵² UT - 50 years in practice/1-3 years/3 or more years.

⁵³ WA - 2 years or less/more than 2 years.

CHART VI

**ALLOCATION OF DUES AND FEES IN
STATE DISCIPLINARY SYSTEMS, 1991/92**

**SOURCE: Survey on Lawyer Discipline, 1991/92
ABA Center for Professional Responsibility**

STATE	Discipline Office Budget Includes:								Annual Dues/Fees (\$)		Percentage of Annual Fees/Dues Allocated to Disciplinary Agency	
	91				92				91	92	91	92
	Salaries	Space	Equip.	Other	Salaries	Space	Equip.	Other				
WV	NR				NR				75/100/150/175 ⁵⁴	75/100/150/175 ⁵⁴	NR	11%
WI	X	X	X		X	X	X		132/249 ⁵⁵	132/249 ⁵⁵	30%	31%
WY	X	X ⁵⁶	X ⁵⁷		X	X ⁵⁶	X ⁵⁷		113/225 ⁵⁸	113/225 ⁵⁸	23%	23%

- * ID did not respond in 1991.
- * MI did not respond in 1992.
- * MT did not respond in 1991 or 1992.
- * TX did not respond in 1991.

⁵⁴ WV - 1 year/2-3 years/4-5 years/6 years or more.

⁵⁵ WI - 1-3 years/4 years or more.

⁵⁶ WY - Not functionally allocated; provided free of cost.

⁵⁷ WY - Not completely allocated; don't pay for leases and most equipment.

⁵⁸ WY - 5 years or less/6 years or more