

**FILED**

**STATE OF MINNESOTA**

**OCT 24 2016**

**DISTRICT COURT  
FIRST JUDICIAL DISTRICT  
PROBATE DIVISION**

**COUNTY OF CARVER**

**CARVER COUNTY COURTS**

In Re: Estate of:

Court File No. 10-PR-16-46

Prince Rogers Nelson,

Deceased.

**FINDINGS OF FACT,  
CONCLUSIONS OF LAW &  
ORDER RESTRICTING  
SUBMISSIONS**

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The above entitled matter came on before the Honorable Kevin W. Eide without a hearing after the Court's receipt of various submissions filed by Rodney Herachio Dixon. In the Court's Order & Judgment Granting Motion to Dismiss filed September 16, 2016, Mr. Dixon's claims against the Estate of Prince Rogers Nelson were dismissed with prejudice. In an Order Regarding Submissions filed September 19, 2016, the Court invited Mr. Dixon and any other parties to submit written argument regarding (a) whether Mr. Dixon currently has standing to submit further claims, motions or requests to the district court; (b) whether the continued submission of claims, motions or requests by Mr. Dixon should be considered frivolous litigation; and (c) whether the court should deem Mr. Dixon a frivolous litigant and impose preconditions on his service or filing of any new claims, motions or requests pursuant to Rule 9 of the Minnesota Rules of General Practice for District Court. Mr. Dixon and the parties were given until October 14, 2016, to submit their written arguments.

In response to the Court's Order Regarding Submissions, the Court received and has reviewed the following submissions: (1) Memorandum of Rodney H. Dixon Opposing Being Deemed a Frivolous Litigant; Submits a Motion to Amend Order and Allow Supplemental Pleadings received October 13, 2016 and filed October 17, 2016; and (2) the Special Administrator's Memorandum in Support of Order Imposing Preconditions on Submissions by Rodney Herachio Dixon filed October 14, 2016.

Now, based on the file and proceedings herein, the Court makes the following:

### FINDINGS OF FACT

1. Rodney Herachio Dixon filed a 27 page “Declaration, Petition & Demand for Notice of Rodney H. Dixon” in this matter on April 27, 2016. Mr. Dixon claims he is the sole and exclusive owner of all of the intellectual property owned by Prince Roger Nelson at the time of his death because on or about December 14, 1982, Mr. Nelson told Mr. Dixon he would become a millionaire in three years or he would be paid a billion dollars in twelve years.

2. On April 29, 2016, the Special Administrator moved to dismiss Mr. Dixon’s claims as failing to state a claim upon which relief may be granted pursuant to Minnesota Rule of Civil Procedure 12.02(e).

3. Mr. Dixon filed additional petitions, claims and memoranda as follow:

- i. A 14 page “Declaration in Support of Petition, Demand for Notice, and Recovery of Rodney H. Dixon” filed May 10, 2016;
- ii. A 36 page “Third Declaration in Support of Petition for Allowance of Claims of Rodney Dixon Motion for Bremer Trust to Show Cause for its Purported Defenses” filed June 13, 2016;
- iii. A 179 page packet of alleged supporting documentation filed June 13, 2016;
- iv. A 25 page “Fourth Declaration in Response to Motion to Dismiss by Bremer Trust in Response to Petition for Allowance by Rodney H. Dixon; and Petitioner Motion for Summary Judgment” filed June 27, 2016;
- v. An 11 page “Petition Restraining Special Administrator Bremer Trust from Selling Assets of the Estate of Prince Rogers Nelson” filed August 3, 2016;
- vi. A 39 page “Memorandum in Support of Rodney H. Dixon in Support of His Position and Petition for Allowance & Motion for Summary Judgment in Response to a Court Order; and Against a Motion to Dismiss and Notice of Disallowance Filed by Bremer Trust” filed August 9, 2016; and
- vii. A 22 page “Objection to Motion to Approve Payment of Special Administrator Fees and Costs and Attorneys’ Fees and Establishing Procedure for Review and Approval of Future Fees and Costs and Expenses; and Motion for Termination of Appointment of Special Administrator” filed September 16, 2016.

None of Mr. Dixon’s submissions provided any legal basis to establish a valid and enforceable claim against the Nelson Estate at this time.

4. In the Court's Order & Judgment Granting Motion to Dismiss filed September 16, 2016, the Court granted the Special Administrator's Motion to Dismiss Mr. Dixon's claims with prejudice.

5. On September 19, 2016, the Court issued an Order Regarding Submission inviting Mr. Dixon or any other party to submit written argument regarding whether the Court should deem Mr. Dixon a frivolous litigant.

6. In spite of the order dismissing his claims against the Estate and the order seeking submissions with regards to whether Mr. Dixon should be deemed a frivolous litigant, Mr. Dixon continues to file new claims substantially similar to those already considered by the Court, again without providing a legal basis to support his claims.

7. On October 6, 2016, Mr. Dixon filed a 53 page "Memorandum of Rodney H. Dixon as the Power of Attorney in Accordance with the Executed Contract of Prince Rogers Nelson and NPG Music Publishing, LLC; and Seeking Appointment as Special Representative of the Estate of Prince Rogers Nelson in this Probate Matter."

8. On October 17, 2016, Mr. Dixon filed a 66 page "Memorandum of Rodney H. Dixon Opposing Being Deemed a Frivolous Litigant; Submits a Motion to Amend Order and Allow Supplemental Pleadings." While the Court invited his submission with respect to being deemed a frivolous litigant, Mr. Dixon's expansion of his submission to include reiterations of his prior pleadings, as well as additional arguments that again fail to include any legal support for his alleged claims, further supports a determination that Mr. Dixon should be deemed a frivolous litigant.

9. Mr. Dixon's continuing submissions create unnecessary expense in the administration of the Estate in processing, reviewing and responding to his unsubstantiated claims.

### **CONCLUSIONS OF LAW**

1. Having been excluded as a claimant of the Prince Roger Nelson Estate in the Court's Order & Judgment Granting Motion to Dismiss filed September 16, 2016, Mr. Dixon lacks standing to submit further claims, motions or requests in this matter.

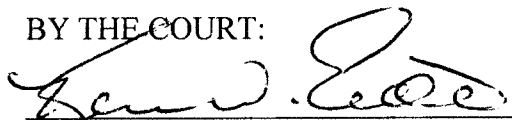
2. Mr. Dixon's continuing submissions are frivolous and it is appropriate that the Court impose restrictions on his service or filing of any new claims, motions or requests in order to protect the rights of the other parties and the Estate.

**ORDER**

1. Future submissions by Mr. Dixon shall be deemed frivolous and shall not be addressed by the Court.

Date: October 21, 2016

BY THE COURT:



Kevin W. Eide  
Judge of District Court