

# **EXHIBIT 1**

**LOMMEN ABDO**

MN / WI / NY

**ENGAGEMENT AND MULTIPLE REPRESENTATION AGREEMENT**

Effective April 27, 2016

Norrine Patricia Nelson  
[REDACTED]  
[REDACTED]

Sharon Louise Nelson  
[REDACTED]  
[REDACTED]

John R. Nelson  
[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

Regarding the Estate of Prince Rogers Nelson

Dear Norrine, Sharon, and John:

**LOMMEN ABDO, P.A.** is pleased to serve you in connection with the above-referenced matter. Because your interests are the same at this time in that you are in the same position as heirs, this **Engagement and Multiple Representation Agreement (“Agreement”)** applies equally to the three of you. “**You**” means the three of you as individuals, unless otherwise indicated.

**Consent to Multiple Representation**

“Multiple representation” occurs when a lawyer represents two or more clients at the same time on the same matter. Although you share a common position as heirs, as the estate issues develop, our recommendations may affect your relative interests differently. These differing interests may affect our ability to serve you with independent legal advice. By representing the three of you, we cannot keep your information confidential from the other two parties.

Though multiple representation may create a conflict of interest, where each of you apparently has the same objective, multiple representation may be more economical and efficient for the three of you rather than to retain separate attorneys. It is our present belief that, under the circumstances, we can represent each of you without adversely affecting the attorney-client relationship with any of you. Otherwise, you could each secure your own personal lawyer. Upon the request of any one of you, we may be obligated to withdraw from representing each of you.

Lommen Abdo, P.A.  
Page 2

You realize that there may be areas of differing interest which may create potential or real conflicts of interest among the three of you in connection with this matter. You understand that, at any time, you are entitled to separate, independent legal counsel in connection with this matter. You also understand that certain information about you, the contents of documents prepared for you, and other confidential communications may be revealed by us to each of you.

After considering all of the above, you wish us to represent each of you and you hereby consent to the multiple representation.

### **Scope of Services**

You are retaining us as your attorneys to represent you in the above-referenced matter and all other transactions or business relating thereto. Other than the current probate proceedings in Carver County, Minnesota, this Agreement shall not extend to any litigation, arbitration or appeal. A separate written agreement will be required for such representation.

### **Personnel**

We assign lawyers and other personnel on the basis of their experience and expertise. The nature and scope of the issues as well as the time constraints imposed to best serve the matter are also considered. I and Adam Gislason will be the primary lawyers working with you on these matters. However, other personnel may assist us from time-to-time.

### **Attorney and Client Duties**

We shall provide legal services required to represent you. We shall keep you informed on significant developments and to respond to your inquiries in a timely manner. You agree to be truthful to us, to cooperate with us and to keep us informed of developments.

### **Basis for Fees**

We charge hourly rates for our lawyers and paralegals. These rates may be adjusted annually. For this matter, my hourly rate will be \$400 and Adam's will be \$300. Other partner and associate attorney rates range from \$195 to \$400 per hour. Paralegal rates range from \$150 to \$175 per hour.

### **Costs and Expenses**

In addition to hourly-based fees, you will be responsible for the necessary costs and expenses required by your matter. Such costs and expenses include, for example, filing fees or other fees required by governmental agencies, long distance telephone charges, delivery charges, photocopying and on-line legal research. These costs will be billed to you. Certain extraordinary expenses shall be pre-approved by you, for example, travel and related expenses. We may also forward third-party invoices for costs and expenses to you for direct payment.

Lommen Abdo, P.A.  
Page 3

### **Monthly Invoices and Delinquent Accounts**

We typically bill on a monthly basis. If you have any outstanding invoices, a Reminder Statement will be forwarded to you automatically each month. All payments received will first be applied against accrued late payment charges, then to costs or expenses advanced or incurred, and last to fees. We may add a late payment charge to accounts not paid within thirty days of the invoice date. The late payment charge is currently assessed at a rate of .66% per month. In the event that an account becomes delinquent, the firm may discontinue representation. You agree to be responsible for all costs incurred by this firm in collecting on your delinquent account, including reasonable attorneys' fees.

### **Payment**

You may pay by check or credit card. If you prefer to pay by check, please make your check payable to "Lommen Abdo Trust Account." To pay by credit card, we accept VISA, American Express, Master Card and Discover. Attached is a credit authorization form for your convenience.

### **Termination of Services**

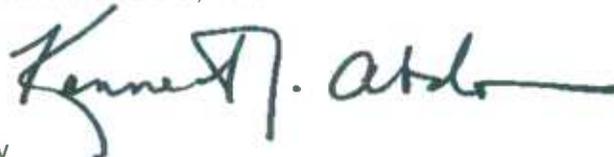
You can terminate this Agreement at any time for any reason. We may cease performing legal services and terminate our representation of you for any reason consistent with the applicable ethical rules, including unanticipated conflicts of interest or delinquency or nonpayment of legal fees and expenses incurred on your behalf or your failure to cooperate with us at all times during the course of our representation.

If you or we terminate, you agree to pay any undisputed hourly fees and expenses for costs due through the date of termination and for any related services or costs related to transferring your files to other lawyers. Any disputed fees and charges will be resolved by arbitration. We shall have no obligation to retain your files beyond one year after our services conclude.

I thank you and we look forward to working with you.

Very truly yours,

LOMMEN ABDO, P.A.



By  
Kenneth J. Abdo

**[CLIENTS' SIGNATURE PAGE TO FOLLOW]**

Lommen Abdo, P.A.  
Page 4

By my signature below, I agree to be bound by the terms and conditions set forth above and consent to the multiple representation as set forth herein. This agreement may be signed in any number of counterparts, each of which is an original and all of which taken together form one single document.

I, NORRINE PATRICIA NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this 23 day of May, 2016.

  
\_\_\_\_\_  
NORRINE PATRICIA NELSON

I, SHARON LOUISE NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this \_\_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
SHARON LOUISE NELSON

I, JOHN R. NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this \_\_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
JOHN R. NELSON

Lommen Abdo, P.A.  
Page 4

By my signature below, I agree to be bound by the terms and conditions set forth above and consent to the multiple representation as set forth herein. This agreement may be signed in any number of counterparts, each of which is an original and all of which taken together form one single document.

I, NORRINE PATRICIA NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this \_\_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
NORRINE PATRICIA NELSON

I, SHARON LOUISE NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this 26 day of May, 2016.

  
\_\_\_\_\_  
SHARON LOUISE NELSON

I, JOHN R. NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this \_\_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
JOHN R. NELSON

Lommen Abdo, P.A.  
Page 4

By my signature below, I agree to be bound by the terms and conditions set forth above and consent to the multiple representation as set forth herein. This agreement may be signed in any number of counterparts, each of which is an original and all of which taken together form one single document.

I, NORRINE PATRICIA NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this \_\_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
NORRINE PATRICIA NELSON

I, SHARON LOUISE NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this \_\_\_\_\_ day of \_\_\_\_\_, 2016.

\_\_\_\_\_  
SHARON LOUISE NELSON

I, JOHN R. NELSON, have received and hereby accept and agree to the terms of this Engagement Agreement this 19 day of Thursday 2016.

John Roger Nelson  
\_\_\_\_\_  
JOHN R. NELSON

# **EXHIBIT 3**

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[REDACTED]

Responsible Atty:	KJA	Invoice Date:	December 5, 2016
Matter Number:	43516-14	Invoice Number:	187526
Matter Description:	Estate of Prince Rogers Nelson - Transfer of file		

Previous Statement Balance	\$	0.00
Payments and Credits Since Last Statement	\$	0.00

**Current Billing Activity:**

Legal Services Rendered	\$	8,005.00
Disbursements and Other Costs Incurred	\$	0.00
<b>Total Current Billing</b>	<b>\$</b>	<b>8,005.00</b>

<b>Balance Due</b>	<b>\$</b>	<b>8,005.00</b>
--------------------	-----------	-----------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
1000 International Centre  
920 Second Avenue South  
Minneapolis, MN 55402  
(612) 339-8131  
Tax ID: [REDACTED]

Credit Card No. \_\_\_\_\_  
Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_  
Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_

Card Holder Address: \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

Card Holder Signature: \_\_\_\_\_

**INVOICE DETAIL**

Sharon L. Nelson



Matter Number:	43516-14	Invoice Number:	187526
Matter Description:	Estate of Prince Rogers Nelson - Transfer of file	Invoice Date:	December 5, 2016

**Legal Services Rendered:**

Date	Professional	Description	Hours	Amount
11/7/2016	MNL	LEGAL RESEARCH AND ANALYSIS IN RE MINNESOTA PROBATE CODE; MEETING WITH ADAM GISLASON IN RE SAME	2.00	450.00
11/8/2016	MNL	LEGAL RESEARCH AND ANALYSIS IN RE MINNESOTA PROBATE CODE	2.20	495.00
11/9/2016	SLS	REVIEW AND ORGANIZE ELECTRONIC FILES TO COMPLETE FILE TRANSFER PER FORMER CLIENTS' REQUEST	4.00	600.00
11/9/2016	TEA	PREPARE CLIENT FILES FOR TRANSFER FOLLOWING TERMINATION LETTER	1.50	225.00
11/10/2016	KJA	WORK ON TRANSFER OF FILES	1.00	400.00
11/10/2016	APG	REVISE SUBSTITUTION AND CONSENT OF COUNSEL; EMAILS AND TELEPHONE CONFERENCE WITH HANSEN DORDELL RE: SAME	0.40	120.00
11/10/2016	APG	PLAN AND PREPARE FILE TRANSFER TO HANSEN DORDELL; REVIEW DOCUMENTS RE: SAME	1.20	360.00
11/10/2016	SLS	CONTINUE REVIEW AND ORGANIZATION OF ELECTRONIC PLEADINGS TO COMPLETE FILE TRANSFER PER FORMER CLIENTS' REQUEST	2.00	300.00
11/11/2016	KJA	WORK ON FILE TRANSFER TO HANSEN DORDELL	1.00	400.00
11/11/2016	APG	EMAILS WITH HANSEN DORDELL RE: TRANSITION AND FILE TRANSFER, INCLUDING DRAFT PROTOCOL FOR PERSONAL REPRESENTATIVE	0.30	90.00
11/11/2016	APG	REVIEW AND PREPARE FILE MATERIALS FOR FILE TRANSFER	0.70	210.00
11/14/2016	APG	REVIEW AND PREPARE FILE MATERIALS FOR FILE TRANSFER; EMAILS WITH HANSEN DORDELL RE: SAME.	1.30	390.00

**INVOICE DETAIL**

Sharon L. Nelson



Matter Number:	43516-14	Invoice Number:	187526
Matter Description:	Estate of Prince Rogers Nelson - Transfer of file	Invoice Date:	December 5, 2016

11/14/2016	SLS	REVIEW AND COMPILE HARD COPY FILES; PREPARE INVENTORY AND RECEIPT TO SUBSTITUTE COUNSEL	2.50	375.00
11/14/2016	TEA	WORK ON FILE TRANSFER AND INVOICING	4.00	600.00
11/17/2016	APG	CONTINUE REVIEW AND PREPARATION OF FILES TO COMPLETE FILE TRANSFER TO HANSEN DORDELL; VARIOUS EMAILS WITH N. DAHL RE: SAME	2.30	690.00
11/21/2016	KJA	REVIEW FINAL TRANSFER OF FILES WITH ADAM GISLASON	0.50	200.00
11/21/2016	APG	CONTINUE WORK ON FILE TRANSFER	1.50	450.00
11/23/2016	APG	CONTINUE FILE TRANSFER REVIEW OF FILES	2.30	690.00
11/23/2016	SLS	REVIEW AND ORGANIZE FILES TO PREPARE FILE TRANSFER TO SUBSTITUTE COUNSEL	1.50	225.00
11/28/2016	APG	CONTINUE REVIEW AND ORGANIZATION OF FILES TO COMPLETE FILE TRANSFER	0.80	240.00
11/28/2016	SLS	REVIEW FILES AND FINALIZE ELECTRONIC HYPERLINKS TO S FOR FILE TRANSFER TO SUBSTITUTE COUNSEL	0.50	75.00
11/29/2016	APG	FINALIZE REVIEW OF FILE TO COMPLETE FILE TRANSFER	1.30	390.00
11/29/2016	SLS	PREPARE EMAILS TO SUBSTITUTE COUNSEL WITH ELECTRONIC HYPERLINKS TO FILES	0.20	30.00
<b>Total Current Legal Services Rendered:</b>			<b>\$</b>	<b>8,005.00</b>

**Summary of Providers' Time:**

	Professional		Hours		Amount
KJA	Kenneth J. Abdo	- Shareholder	2.50	Hours @ 400.00/hr	\$ 1,000.00
APG	Adam P. Gislason	- Associate	12.10	Hours @ 300.00/hr	\$ 3,630.00

**INVOICE DETAIL****LOMMEN ABDO**  
LAW FIRM

Sharon L. Nelson

Matter Number:	43516-14	Invoice Number:	187526
Matter Description:	Estate of Prince Rogers Nelson - Transfer of file	Invoice Date:	December 5, 2016

MNL	Michael N. Leonard	- Associate	4.20	Hours @	225.00/hr	\$	945.00
SLS	Sharon Sandberg	- Support	10.70	Hours @	150.00/hr	\$	1,605.00
TEA	Theresa Abdo Whelan	- Paralegal	5.50	Hours @	150.00/hr	\$	825.00

---

<b>Total Current Billing:</b>						\$	<b>8,005.00</b>
-------------------------------	--	--	--	--	--	----	-----------------

---

# **EXHIBIT 4**

**LOMMEN ABDO**

MN / WI / NY

December 9, 2016

**VIA EMAIL AND U.S. MAIL**Sharon Nelson  
[REDACTED]  
[REDACTED]**VIA U.S. MAIL**Norrine Nelson  
[REDACTED]  
[REDACTED]**VIA FEDERAL EXPRESS**John Nelson  
[REDACTED]  
[REDACTED]

Re: In Re Estate of Prince Rogers Nelson  
Our File No. 43516

Dear Sharon, Norrine and John:

Enclosed are invoices for legal fees and costs relating to the services provided by our firm from April 27, 2016, the date that we were first retained, through November 28, 2016, the date we completed the file transfer.

We have reviewed the invoices and note the following:

1. As stated in our original Engagement Agreement (which is enclosed for your reference), Adam and I billed our time at discounted hourly rates. Mine was discounted from \$450 to \$400; Adam's from \$350 to \$300.
2. Most of the work was done by Adam and me. Other lawyers from our firm provided expertise and billed time as needed. These lawyers included Karen Schlotthauer [KAS] (Minnesota estate lawyer); Robert Donnelly [RED] and Paul Bezilla [PJB] (entertainment lawyers); and Kay Hunt [KNH] (Minnesota appellate lawyer).
3. We did not charge for most of the conversations we routinely had with you concerning updates and general reports. We limited our billing to time spent on material matters.



Lommen Abdo, P.A.

Sharon, Norrine and John Nelson

December 9, 2016

Page 2

4. We have limited the costs to be reimbursed. For example, we did not charge for costs of our various lunch and dinner meetings nor did we bill for any time spent during those meetings.

The total amount due for legal services and reimbursable costs is \$495,862.26. Rather than place all of our time on one large bill, we divided our work into separate invoices because we believe that under Minnesota law, the Estate should pay some of these invoices rather than each of you personally. The breakdown for each invoice category is as follows:

- Estate of Prince Rogers Nelson: \$141,077.50, plus costs of \$5,553.11, equals \$146,630.60
- Court-Approved (Entertainment) Agreements: \$106,660.00
- Paisley Park Museum: \$39,005.00
- Tribute Concert: \$45,990.00
- Personal Representative (selection process): \$15,310.00
- Heirship Determination (relating to addressing Brianna, Victoria and Cory claims): \$86,860.00, plus costs of \$1,301.65, equals \$88,161.65
- Right of Publicity (legislation): \$11,670.00
- Appeals (court procedures relating to excluded heirs' appeals): \$10,430.00
- Special Administrator (and Advisor approval): \$19,520.00
- Miscellaneous Entertainment Matters: \$3,760.00
- Transfer of File: \$8,005.00

While you are personally responsible for the legal fees and costs identified on each of these invoices, under Minnesota law, legal services provided by counsel "for the benefit of the estate," and not just you individually, should be paid by the Estate. In our view, this includes legal services identified on all invoices except those identified as the "Estate of Prince Rogers Nelson" and the "Transfer of File." We have enclosed a memorandum that summarizes Minnesota law in support of this position.

In further support of payments by the Estate, you may recall that the Court previously approved the payment of fees to several law firms (including Tyka's former lawyers, Gray Plant Mooty) for work that it had done in connection with the appointment of the Special Administrator and to support new legislation to establish a right to publicity in Minnesota law (the "PRINCE Act"). We performed similar work on these matters and believe these fees would be approved.

Lommen Abdo, P.A.

Sharon, Norrine and John Nelson  
December 9, 2016  
Page 3

Also, our firm was appointed by the heirs, under the Court's order, to work on the six (6) Court ordered entertainment deals. We also worked with the Special Administrator on your behalf on other entertainment matters. We took a leadership position on your behalf with respect to the negotiation of the Paisley Park museum license and the Official Family Tribute event which resulted in the deals closing and income paid to you and the other heirs. We dedicated work that ultimately resulted in the exclusion of Brianna and Victoria. We were required to engage in work in response to the appeals of the excluded heirs, to participate in the process to appoint Bremer as the Special Administrator as well as the selection of the new Personal Representative. Not only was all of this work on your behalf and for your benefit, but it also benefitted the Estate.

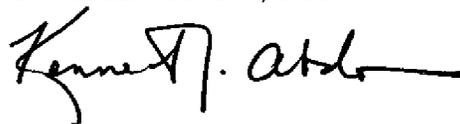
Therefore, we recommend that these invoices be submitted to the Special Administrator for payment by the Estate. With your permission, we can do this. Otherwise, we can cooperate with you and your current counsel (copied on this correspondence) to make this submission. Please let us know by early next week if we have your permission to communicate directly with the Special Administrator and/or its counsel (Stinson Leonard Street). We welcome the participation of your current counsel.

With respect to the invoices identified as "Estate of Prince Rogers Nelson" and the "Transfer of File," which are your sole responsibility to pay, let me know if you are able to make payment or if you would like to discuss a payment plan. Any payment that can be received by December 15, 2016 would be greatly appreciated as that marks the end of our fiscal year for collections.

We thank you for your trust and confidence during our representation and wish you a happy holiday.

Sincerely,

LOMMEN ABDO, P.A.



By

Kenneth J. Abdo

KJA/bhs

Enclosures

cc: Randall W. Sayers, Esq./Nathaniel A. Dahl, Esq. - via email  
Hansen, Dordell, Bradt, Odlaug & Bradt, P.L.L.P.

# **EXHIBIT 5**

**LOMMEN ABDO**

MN / WI / NY

May 3, 2017

**VIA EMAIL**

Randall W. Sayers, Esq.  
Hansen, Dordell, Bradt, Odlaug & Bradt, P.L.L.P.  
3900 Northwoods Drive, Suite 250  
St. Paul MN 55112-6973

Re: Estate of Prince Rogers Nelson

Dear Randy:

This letter follows on our telephone conversation regarding outstanding invoices due our firm by Sharon, Norrine, and John Nelson ("Nelsons") prior to your Substitution of Counsel in the Estate of Prince Rogers Nelson ("Estate") matter.

As you and the Nelsons are aware, our firm petitioned the Court for approval of payment of attorneys' fees and costs that were performed on behalf the Estate as a whole. On March 20, 2017, the Court issued an Order approving the payment of \$274,600 to our firm. We have now received payment from the Estate in that amount and have applied the funds to the outstanding invoices as outlined in the Court's Order.

For your convenience, below is a table outlining our firm's invoices, the Estate payment amount applied, if any, and the current outstanding balance for each matter number:

File No./ Description	Invoiced Amount	Estate Payment	Outstanding Balance
43516 / Main Client File	\$146,630.61	\$0	\$146,630.61
43516-01/Entertainment and Court Ordered Agreements	\$100,770.00	\$61,800.00	\$38,970.00
43516-02/Paisley Park Museum	\$39,005.00	\$29,865.00	\$9,140.00
43516-04/Tribute Concert	\$45,990.00	\$38,820.00	\$7,170.00
43516-05/Selection of Personal Representative	\$15,310.00	\$14,825.00	485.00



Lommen Abdo, P.A.

Randall W. Sayers, Esq.

May 3, 2017

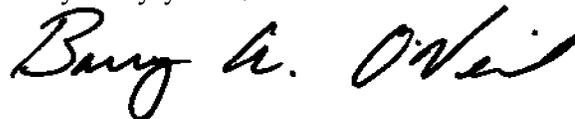
Page 2

File No./ Description	Invoiced Amount	Estate Payment	Outstanding Balance
43516-06/Heirship Determination	\$88,161.5	\$85,930.00	\$2,231.50
43516-12/Right of Publicity	\$11,670.00	\$11,670.00	\$0
43516-13/ Appeals	\$10,430.00	\$10,430.00	\$0
43516-14/Transfer of File	\$8,005.00	\$0	\$8,005.00
43516-15/Special Administrator	\$19,520.00	\$17,500.00	2,020.00
43516-16/Miscellaneous Entertainment Matters	\$3,760.00	\$3,760.00	\$0
<b>TOTAL:</b>	<b>\$489,252.11</b>	<b>\$274,600.00</b>	<b>\$214,652.11</b>

Accordingly, the total outstanding amount due our firm from the Nelsons collectively is \$214,652.11. Attached for reference are our firm's updated invoices showing the outstanding balance for each matter number. I also attach a copy of the Engagement and Multiple Representation Agreement that was executed by the Nelsons.

I ask that you please pass this information on to the Nelsons and advise me if we can discuss a plan to address the outstanding balance. If the Nelsons would like to arrange a payment plan or if you have any questions concerning the above, please feel free to contact me. Thank you.

Very truly yours,



Barry A. O'Neil

BAO/SLS/sls  
Attachments

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[REDACTED]

Responsible Atty:	BAO	Invoice Date:	May 3, 2017
Matter Number:	43516	Invoice Number:	0
Matter Description:	Estate of Prince Rogers Nelson		

Previous Statement Balance	\$	146,630.61
Payments and Credits Since Last Statement	\$	0.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
Total Current Billing	\$	0.00

<b>Balance Due</b>	<b>\$</b>	<b>146,630.61</b>
--------------------	-----------	-------------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
 1000 International Centre  
 920 Second Avenue South  
 Minneapolis, MN 55402  
 (612) 339-8131  
 Tax ID: [REDACTED]

Credit Card No. \_\_\_\_\_  
 Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_  
 Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_  
 Card Holder Name: \_\_\_\_\_  
 Card Holder Address: \_\_\_\_\_  
 \_\_\_\_\_  
 Card Holder Signature:

Amount Enclosed: \$ \_\_\_\_\_

# INVOICE DETAIL

Sharon L. Nelson



Matter Number:	43516	Invoice Number:	0
Matter Description:	Estate of Prince Rogers Nelson	Invoice Date:	May 3, 2017

---

---

<b>Total Current Billing:</b>	<b>\$ 0.00</b>
-------------------------------	----------------

---

# LOMMEN ABDO

## Invoice

Sharon L. Nelson



Responsible Atty: KJA  
 Matter Number: 43516-01  
 Matter Description: Estate of Prince Rogers Nelson -  
 Entertainment and Court Ordered  
 Agreements

Invoice Date: April 7, 2017  
 Invoice Number: 189238

Previous Statement Balance	\$	100,770.00
Payments and Credits Since Last Statement	\$	61,800.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
<b>Total Current Billing</b>	<b>\$</b>	<b>0.00</b>

<b>Balance Due</b>	<b>\$</b>	<b>38,970.00</b>
--------------------	-----------	------------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
 1000 International Centre  
 920 Second Avenue South  
 Minneapolis, MN 55402  
 (612) 339-8131  
 Tax ID:

Credit Card No. \_\_\_\_\_

Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_

Card Holder Address: \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

Card Holder Signature: \_\_\_\_\_

**INVOICE DETAIL**

Sharon L. Nelson

**LOMMEN ABDO**

LAW FIRM

Matter Number:	43516-01	Invoice Number:	189238
Matter Description:	Estate of Prince Rogers Nelson - Entertainment and Court Ordered Agreements	Invoice Date:	April 7, 2017

---



---

**Summary of Payments & Adjustments:**

Date	Description	Amount
4/5/2017	PAYMENT - THANK YOU	61,800.00
<b>Total Payments &amp; Adjustments:</b>		<b>\$ 61,800.00</b>

---

<b>Total Current Billing:</b>	<b>\$ 0.00</b>
-------------------------------	----------------

---

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[REDACTED]

Responsible Atty:	KJA	Invoice Date:	April 7, 2017
Matter Number:	43516-02	Invoice Number:	189239
Matter Description:	Estate of Prince Rogers Nelson - Paisley Park Museum		

Previous Statement Balance	\$	39,005.00
Payments and Credits Since Last Statement	\$	29,865.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
<b>Total Current Billing</b>	<b>\$</b>	<b>0.00</b>

<b>Balance Due</b>	<b>\$</b>	<b>9,140.00</b>
--------------------	-----------	-----------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
1000 International Centre  
920 Second Avenue South  
Minneapolis, MN 55402  
(612) 339-8131  
Tax ID: [REDACTED]

Credit Card No. \_\_\_\_\_  
 Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_  
 Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_  
 Card Holder Name: \_\_\_\_\_  
 Card Holder Address: \_\_\_\_\_  
 \_\_\_\_\_  
 Card Holder Signature:

Amount Enclosed: \$ \_\_\_\_\_

**INVOICE DETAIL**

Sharon L. Nelson

**LOMMEN ABDO**

LAW FIRM

Matter Number:	43516-02	Invoice Number:	189239
Matter Description:	Estate of Prince Rogers Nelson - Paisley Park Museum	Invoice Date:	April 7, 2017

**Summary of Payments & Adjustments:**

Date	Description	Amount
4/5/2017	PAYMENT - THANK YOU	29,865.00

<b>Total Payments &amp; Adjustments:</b>	<b>\$ 29,865.00</b>
--	---------------------

<b>Total Current Billing:</b>	<b>\$ 0.00</b>
-------------------------------	----------------

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[REDACTED]

Responsible Atty:	KJA	Invoice Date:	April 7, 2017
Matter Number:	43516-04	Invoice Number:	189240
Matter Description:	Estate of Prince Rogers Nelson - Tribute		

Previous Statement Balance	\$	45,990.00
Payments and Credits Since Last Statement	\$	38,820.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
Total Current Billing	\$	0.00

<b>Balance Due</b>	<b>\$</b>	<b>7,170.00</b>
--------------------	-----------	-----------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
 1000 International Centre  
 920 Second Avenue South  
 Minneapolis, MN 55402  
 (612) 339-8131  
 Tax ID: [REDACTED]

Credit Card No. \_\_\_\_\_

Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

Card Holder Address: \_\_\_\_\_

Card Holder Signature: \_\_\_\_\_

**INVOICE DETAIL**

Sharon L. Nelson

**LOMMEN ABDO**

LAW FIRM

Matter Number:	43516-04	Invoice Number:	189240
Matter Description:	Estate of Prince Rogers Nelson - Tribute	Invoice Date:	April 7, 2017

**Summary of Payments & Adjustments:**

Date	Description	Amount
4/5/2017	PAYMENT - THANK YOU	38,820.00

<b>Total Payments &amp; Adjustments:</b>	<b>\$ 38,820.00</b>
--	---------------------

<b>Total Current Billing:</b>	<b>\$ 0.00</b>
-------------------------------	----------------

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[REDACTED]

Responsible Atty:	KJA	Invoice Date:	April 7, 2017
Matter Number:	43516-05	Invoice Number:	189241
Matter Description:	Estate of Prince Rogers Nelson - Personal Representative		

Previous Statement Balance	\$	15,310.00
Payments and Credits Since Last Statement	\$	14,825.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
<b>Total Current Billing</b>	<b>\$</b>	<b>0.00</b>

<b>Balance Due</b>	<b>\$</b>	<b>485.00</b>
--------------------	-----------	---------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
1000 International Centre  
920 Second Avenue South  
Minneapolis, MN 55402  
(612) 339-8131  
Tax ID: [REDACTED]

Credit Card No. \_\_\_\_\_

Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_

Card Holder Address: \_\_\_\_\_  
\_\_\_\_\_

Card Holder Signature: \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

**INVOICE DETAIL**

Sharon L. Nelson

**LOMMEN ABDO**

LAW FIRM

Matter Number:	43516-05	Invoice Number:	189241
Matter Description:	Estate of Prince Rogers Nelson - Personal Representative	Invoice Date:	April 7, 2017

**Summary of Payments & Adjustments:**

Date	Description	Amount
4/5/2017	PAYMENT - THANK YOU	14,825.00

<b>Total Payments &amp; Adjustments:</b>	<b>\$ 14,825.00</b>
--	---------------------

<b>Total Current Billing:</b>	<b>\$ 0.00</b>
-------------------------------	----------------

# LOMMEN ABDO

## Invoice

Sharon L. Nelson



Responsible Atty:	KJA	Invoice Date:	April 7, 2017
Matter Number:	43516-06	Invoice Number:	189242
Matter Description:	Estate of Prince Rogers Nelson - Heirship Determination		

Previous Statement Balance	\$	88,161.65
Payments and Credits Since Last Statement	\$	85,930.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
Total Current Billing	\$	0.00

<b>Balance Due</b>	<b>\$</b>	<b>2,231.65</b>
--------------------	-----------	-----------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
 1000 International Centre  
 920 Second Avenue South  
 Minneapolis, MN 55402  
 (612) 339-8131  
 Tax ID:

Credit Card No. \_\_\_\_\_

Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_

Card Holder Address: \_\_\_\_\_

Card Holder Signature: \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

**INVOICE DETAIL**

Sharon L. Nelson



Matter Number:	43516-06	Invoice Number:	189242
Matter Description:	Estate of Prince Rogers Nelson - Heirship Determination	Invoice Date:	April 7, 2017

**Summary of Payments & Adjustments:**

Date	Description	Amount
4/5/2017	PAYMENT - THANK YOU	85,930.00

<b>Total Payments &amp; Adjustments:</b>	<b>\$ 85,930.00</b>
--	---------------------

<b>Total Current Billing:</b>	<b>\$ 0.00</b>
-------------------------------	----------------

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[REDACTED]

Responsible Atty:	KJA	Invoice Date:	April 7, 2017
Matter Number:	43516-12	Invoice Number:	189243
Matter Description:	Estate of Prince Rogers Nelson - Rights of Publicity		

Previous Statement Balance	\$	11,670.00
Payments and Credits Since Last Statement	\$	11,670.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
<b>Total Current Billing</b>	<b>\$</b>	<b>0.00</b>

<b>Balance Due</b>	<b>\$</b>	<b>0.00</b>
--------------------	-----------	-------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
1000 International Centre  
920 Second Avenue South  
Minneapolis, MN 55402  
(612) 339-8131  
Tax ID: [REDACTED]

Credit Card No. \_\_\_\_\_

Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

Card Holder Address: \_\_\_\_\_

Card Holder Signature: \_\_\_\_\_

**INVOICE DETAIL**

Sharon L. Nelson

**LOMMEN ABDO**

LAW FIRM

Matter Number:	43516-12	Invoice Number:	189243
Matter Description:	Estate of Prince Rogers Nelson - Rights of Publicity	Invoice Date:	April 7, 2017

**Summary of Payments & Adjustments:**

Date	Description	Amount
4/5/2017	PAYMENT - THANK YOU	11,670.00
<b>Total Payments &amp; Adjustments:</b>		<b>\$ 11,670.00</b>

<b>Total Current Billing:</b>	<b>\$ 0.00</b>
-------------------------------	----------------

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[Redacted]

Responsible Atty:	KJA	Invoice Date:	April 7, 2017
Matter Number:	43516-13	Invoice Number:	189244
Matter Description:	Estate of Prince Rogers Nelson - Appeals		

Previous Statement Balance	\$	10,430.00
Payments and Credits Since Last Statement	\$	10,430.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
Total Current Billing	\$	0.00

<b>Balance Due</b>	<b>\$</b>	<b>0.00</b>
--------------------	-----------	-------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
1000 International Centre  
920 Second Avenue South  
Minneapolis, MN 55402  
(612) 339-8131  
Tax ID: [Redacted]

Credit Card No. \_\_\_\_\_  
Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_  
Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_

Card Holder Address: \_\_\_\_\_

Card Holder Signature: \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

**INVOICE DETAIL**

Sharon L. Nelson

**LOMMEN ABDO**  
LAW FIRM

Matter Number:	43516-13	Invoice Number:	189244
Matter Description:	Estate of Prince Rogers Nelson - Appeals	Invoice Date:	April 7, 2017

---

---

**Summary of Payments & Adjustments:**

Date	Description	Amount
4/5/2017	PAYMENT - THANK YOU	10,430.00
	<b>Total Payments &amp; Adjustments:</b>	<b>\$ 10,430.00</b>

---

<b>Total Current Billing:</b>	<b>\$</b>	<b>0.00</b>
-------------------------------	-----------	-------------

---

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[REDACTED]

Responsible Atty:	BAO	Invoice Date:	May 3, 2017
Matter Number:	43516-14	Invoice Number:	0
Matter Description:	Estate of Prince Rogers Nelson - Transfer of file		

Previous Statement Balance	\$	8,005.00
Payments and Credits Since Last Statement	\$	0.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
<b>Total Current Billing</b>	<b>\$</b>	<b>0.00</b>

<b>Balance Due</b>	<b>\$</b>	<b>8,005.00</b>
--------------------	-----------	-----------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
 1000 International Centre  
 920 Second Avenue South  
 Minneapolis, MN 55402  
 (612) 339-8131  
 Tax ID: [REDACTED]

Credit Card No. \_\_\_\_\_

Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_

Card Holder Address: \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

Card Holder Signature: \_\_\_\_\_

# INVOICE DETAIL

Sharon L. Nelson



Matter Number:	43516-14	Invoice Number:	0
Matter Description:	Estate of Prince Rogers Nelson - Transfer of file	Invoice Date:	May 3, 2017

---

---

**Total Current Billing:** \$ 0.00

---

# LOMMEN ABDO

## Invoice

Sharon L. Nelson



Responsible Atty:	KJA	Invoice Date:	April 7, 2017
Matter Number:	43516-15	Invoice Number:	189245
Matter Description:	Estate of Prince Rogers Nelson - Special Administrator		

Previous Statement Balance	\$	19,520.00
Payments and Credits Since Last Statement	\$	17,500.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
Total Current Billing	\$	0.00

<b>Balance Due</b>	<b>\$</b>	<b>2,020.00</b>
--------------------	-----------	-----------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
1000 International Centre  
920 Second Avenue South  
Minneapolis, MN 55402  
(612) 339-8131  
Tax ID:

Credit Card No. \_\_\_\_\_  
Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_\_  
Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

Card Holder Name: \_\_\_\_\_  
Card Holder Address: \_\_\_\_\_  
\_\_\_\_\_

Card Holder Signature:

**INVOICE DETAIL**

Sharon L. Nelson

**LOMMEN ABDO**

LAW FIRM

Matter Number:	43516-15	Invoice Number:	189245
Matter Description:	Estate of Prince Rogers Nelson - Special Administrator	Invoice Date:	April 7, 2017

**Summary of Payments & Adjustments:**

Date	Description	Amount
4/5/2017	PAYMENT - THANK YOU	17,500.00

<b>Total Payments &amp; Adjustments:</b>	<b>\$ 17,500.00</b>
--	---------------------

<b>Total Current Billing:</b>	<b>\$ 0.00</b>
-------------------------------	----------------

# LOMMEN ABDO

## Invoice

Sharon L. Nelson  
[REDACTED]

Responsible Atty:	KJA	Invoice Date:	April 7, 2017
Matter Number:	43516-16	Invoice Number:	189246
Matter Description:	Estate of Prince Rogers Nelson - Miscellaneous Entertainment Matters		

Previous Statement Balance	\$	3,760.00
Payments and Credits Since Last Statement	\$	3,760.00

**Current Billing Activity:**

Legal Services Rendered	\$	0.00
Disbursements and Other Costs Incurred	\$	0.00
Total Current Billing	\$	0.00

<b>Balance Due</b>	<b>\$</b>	<b>0.00</b>
--------------------	-----------	-------------

**Payment due upon receipt. Please return this entire page with your payment.**



**Credit Card Authorization**

Remit to: Lommen Abdo, P.A.  
1000 International Centre  
920 Second Avenue South  
Minneapolis, MN 55402  
(612) 339-8131  
Tax ID: [REDACTED]

Credit Card No. \_\_\_\_\_  
 Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_\_  
 Sec. Code \_\_\_\_\_ Amount \$ \_\_\_\_\_  
 Card Holder Name: \_\_\_\_\_  
 Card Holder Address: \_\_\_\_\_  
 \_\_\_\_\_

Amount Enclosed: \$ \_\_\_\_\_

Card Holder Signature:



# **EXHIBIT 6**



**State of Minnesota**  
Office of the Minnesota Secretary of State  
Steve Simon

## UCC Filing Acknowledgement

**Filing Type:** Original Filing - UCC Financing Statement

**Original Filing Number:** 1030571100033

**File Date:** 9/4/2018

**File Time:** 5:00 PM

**Lapse Date:** 9/4/2023

The Minnesota Computerized/Central Filing System has received and filed your document. The information below reflects the data that was indexed in our system. Please review the information for accuracy. If you find a potential error, please notify the Office of the Minnesota Secretary of State.

### DEBTOR INFORMATION

<b>INDIVIDUAL'S SURNAME</b> NELSON	<b>FIRST PERSONAL NAME</b> SHARON	<b>ADDL NAME(S), INITIAL(S)</b> L		
<b>MAILING ADDRESS</b> 8008 YATES AVE N	<b>CITY</b> BROOKLYN PARK	<b>STATE</b> MN	<b>POSTAL CODE</b> 55443	<b>COUNTRY</b> USA

<b>INDIVIDUAL'S SURNAME</b> NELSON	<b>FIRST PERSONAL NAME</b> NORRINE	<b>ADDL NAME(S), INITIAL(S)</b> P		
<b>MAILING ADDRESS</b> 8008 YATES AVE N	<b>CITY</b> BROOKLYN PARK	<b>STATE</b> MN	<b>POSTAL CODE</b> 55443	<b>COUNTRY</b> USA

<b>INDIVIDUAL'S SURNAME</b> NELSON	<b>FIRST PERSONAL NAME</b> JOHN	<b>ADDL NAME(S), INITIAL(S)</b> L		
<b>MAILING ADDRESS</b> 8008 YATES AVE N	<b>CITY</b> BROOKLYN PARK	<b>STATE</b> MN	<b>POSTAL CODE</b> 55443	<b>COUNTRY</b> USA

### SECURED PARTY INFORMATION

<b>ORGANIZATION'S NAME</b> LOMMEN ABDO PA				
<b>MAILING ADDRESS</b> 920 SECOND AVE S # 1000	<b>CITY</b> MINNEAPOLIS	<b>STATE</b> MN	<b>POSTAL CODE</b> 55402	<b>COUNTRY</b> USA

Filing in the Minnesota Computerized/Central Filing System is not conclusive proof that all conditions for securing priority have been met. Ensuring that accurate information is on the document to be filed is the responsibility of the filing party. If the filing is challenged, the filing office does not guarantee that the filing is legally sufficient to secure priority or other privileges under law and expressly disclaims any liability for failure of the filing party to secure priority resulting from the information contained in the filed document, or the lack of information on the filed document.

For questions regarding this acknowledgement or questions regarding payment, please contact the filing Office listed below.

Visit our web site to discover timesaving and convenient ways for doing business online at:  
<https://mblsportal.sos.state.mn.us/>

**Uniform Commercial Code**  
MN Retirement Systems Building  
60 Empire Drive #100  
Saint Paul, MN 55103-2141  
Phone: 651-296-2803 or 1-877-551-6767 Minnesota Relay Service: 711  
E-mail: [ucc.dept@state.mn.us](mailto:ucc.dept@state.mn.us)



10305711

**UCC FINANCING STATEMENT**

FOLLOW INSTRUCTIONS

Filing Number: 1030571100033  
 Date: 09/04/2018  
 Time: 5:00 PM  
 STATE OF MINNESOTA  
 Office: Office of the Minnesota  
 Secretary of State

A. NAME & PHONE OF CONTACT AT FILER (optional) <b>Barry A. O'Neil</b>
B. E-MAIL CONTACT AT FILER (optional) <b>barry@lommen.com</b>
C. SEND ACKNOWLEDGMENT TO: (Name and Address)  <div style="border: 1px solid black; padding: 5px;"> <b>Lommen Abdo, P.A.</b>  <b>1000 International Centre</b>  <b>920 Second Avenue South</b>  <b>Minneapolis, MN 55402</b> </div>

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S NAME: Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 1b, leave all of item 1 blank, check here  and provide the Individual Debtor Information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

1a. ORGANIZATION'S NAME				
OR	1b. INDIVIDUAL'S SURNAME <b>Nelson</b>	FIRST PERSONAL NAME <b>Sharon</b>	ADDITIONAL NAME(S)/INITIAL(S) <b>L.</b>	SUFFIX
1c. MAILING ADDRESS <b>8008 Yates Avenue North</b>	CITY <b>Brooklyn Park</b>	STATE <b>MN</b>	POSTAL CODE <b>55443</b>	COUNTRY <b>US</b>

2. DEBTOR'S NAME: Provide only one Debtor name (2a or 2b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 2b, leave all of item 2 blank, check here  and provide the Individual Debtor Information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

2a. ORGANIZATION'S NAME				
OR	2b. INDIVIDUAL'S SURNAME <b>Nelson</b>	FIRST PERSONAL NAME <b>Norrine</b>	ADDITIONAL NAME(S)/INITIAL(S) <b>P.</b>	SUFFIX
2c. MAILING ADDRESS <b>8008 Yates Avenue North</b>	CITY <b>Brooklyn Park</b>	STATE <b>MN</b>	POSTAL CODE <b>55443</b>	COUNTRY <b>US</b>

3. SECURED PARTY'S NAME (or NAME of ASSIGNEE of ASSIGNOR SECURED PARTY): Provide only one Secured Party name (3a or 3b)

3a. ORGANIZATION'S NAME <b>Lommen Abdo, P.A.</b>				
OR	3b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
3c. MAILING ADDRESS <b>920 Second Avenue South, Suite 1000</b>	CITY <b>Minneapolis</b>	STATE <b>MN</b>	POSTAL CODE <b>55402</b>	COUNTRY <b>US</b>

4. COLLATERAL: This financing statement covers the following collateral:

The Debtors' interest in money or property arising from their status as heirs to the Estate of Prince Rogers Nelson (the "Estate") as claimed and/or determined in the probate matter currently pending in Carver County Probate Court, State of Minnesota, Court File No. 10-PR-16-46; including, but not limited to, their right, individually or collectively, to receive payments, property, proceeds or money of any kind as well as without limitation all accounts, contracts, instruments, chattel paper, investment property, letter of credit rights, letters of credit, other rights to payment, documents, deposit accounts, money, insurance proceeds and general intangibles related to their interests as heirs to the Estate. See Lommen Abdo, P.A.'s Notice of Intent to Claim Attorney's Lien attached hereto as Exhibit A.

5. Check <u>only</u> if applicable and check <u>only</u> one box: Collateral is <input type="checkbox"/> held in a Trust (see UCC1Ad, Item 17 and Instructions) <input type="checkbox"/> being administered by a Decedent's Personal Representative	
6a. Check <u>only</u> if applicable and check <u>only</u> one box: <input type="checkbox"/> Public-Finance Transaction <input type="checkbox"/> Manufactured-Home Transaction <input type="checkbox"/> A Debtor is a Transferring Utility <input type="checkbox"/> Agricultural Lien <input checked="" type="checkbox"/> Non-UCC Filing	
6b. Check <u>only</u> if applicable and check <u>only</u> one box: <input type="checkbox"/> Licensee/Licensors	
7. ALTERNATIVE DESIGNATION (if applicable): <input type="checkbox"/> Lessee/Lessor <input type="checkbox"/> Consignee/Consignor <input type="checkbox"/> Seller/Buyer <input type="checkbox"/> Bailee/Bailor	
8. OPTIONAL FILER REFERENCE DATA:	

Filing Number: 1030571100033

**UCC FINANCING STATEMENT ADDENDUM**

FOLLOW INSTRUCTIONS

9. NAME OF FIRST DEBTOR: Same as line 1a or 1b on Financing Statement; If line 1b was left blank because Individual Debtor name did not fit, check here <input type="checkbox"/>					
9a. ORGANIZATION'S NAME					
OR					
9b. INDIVIDUAL'S SURNAME					
Nelson					
FIRST PERSONAL NAME					
Sharon					
ADDITIONAL NAME(S)/INITIAL(S)				SUFFIX	
L.					
THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY					
10. DEBTOR'S NAME: Provide (10a or 10b) only <u>one</u> additional Debtor name or Debtor name that did not fit in line 1b or 2b of the Financing Statement (Form UCC1) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name) and enter the mailing address in line 10c					
10a. ORGANIZATION'S NAME					
OR					
10b. INDIVIDUAL'S SURNAME					
Nelson					
INDIVIDUAL'S FIRST PERSONAL NAME					
John					
INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S)				SUFFIX	
L.					
10c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
8008 Yates Avenue North		Brooklyn Park	MN	55443	US
11. <input type="checkbox"/> ADDITIONAL SECURED PARTY'S NAME or <input type="checkbox"/> ASSIGNOR SECURED PARTY'S NAME: Provide only <u>one</u> name (11a or 11b)					
11a. ORGANIZATION'S NAME					
OR					
11b. INDIVIDUAL'S SURNAME		FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)		SUFFIX
11c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
12. ADDITIONAL SPACE FOR ITEM 4 (Collateral):					

13. <input type="checkbox"/> This FINANCING STATEMENT is to be filed (for record) (or recorded) in the REAL ESTATE RECORDS (if applicable)		14. This FINANCING STATEMENT:		
		<input type="checkbox"/> covers timber to be cut <input type="checkbox"/> covers as-extracted collateral <input type="checkbox"/> is filed as a fixture filing		
15. Name and address of a RECORD OWNER of real estate described in Item 16 (if Debtor does not have a record interest):		16. Description of real estate:		

17. MISCELLANEOUS:

Filing Number: 1030571100033

STATE OF MINNESOTA  
COUNTY OF CARVERDISTRICT COURT  
PROBATE DIVISION  
FIRST JUDICIAL DISTRICT

Court File No. 10-PR-16-46

In Re the Estate of:

Prince Rogers Nelson,  
Decedent.**LOMMEN ABDO, P.A.'S NOTICE OF  
INTENT TO CLAIM ATTORNEY'S LIEN**

TO: Sharon L. Nelson, Norrine P. Nelson, John R. Nelson, and Comerica Bank & Trust, N.A., as Personal Representative of Estate of Prince Rogers Nelson (through its counsel of record, Joseph J. Cassioppi, Fredrikson & Byron, P.A., 200 South Sixth Street, Suite 4000, Minneapolis, Minnesota 55402-1425).

**NOTICE IS HEREBY GIVEN**, pursuant to Minn. Stat. § 481.13, that the law firm of Lommen Abdo, P.A., a Minnesota Professional Association, claims and intends to hold a lien in the amount of **\$214,652.11** (Two Hundred Fourteen Thousand Six Hundred Fifty-Two and 11/100) Dollars, comprised of: 1) Two Hundred Thirteen Thousand Three Hundred Fifty and 46/100 (\$213,350.46) Dollars for legal services rendered and 2) One Thousand Three Hundred One and 65/100 (\$1,301.65) Dollars for costs incurred from on or about April 27, 2016 through November 29, 2016 in the above-captioned matter.

The attorney lien applies to: Sharon L. Nelson, Norrine P. Nelson, and John R. Nelson's (the "Nelsons") and/or their successors and assigns' interest in money or property arising from their status as heirs to the Estate of Prince Rogers Nelson (the "Estate") as claimed and/or determined in the probate matter currently pending in Carver County Probate Court, State of Minnesota, Court File No. 10-PR-16-46; including, but not limited to, their right, individually or collectively, to receive payments, property, proceeds or money of any kind as well as without limitation all accounts, contracts, instruments, chattel paper, investment property, letter of credit

Filing Number: 1030571100033

rights, letters of credit, other rights to payment, documents, deposit accounts, money, insurance proceeds and general intangibles related to their interests as heirs to the Estate and any and all other rights, benefits, interests and entitlements allowed, granted or permitted under or pursuant to Minn. Stat. § 481.13.

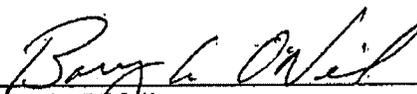
The lien is claimed for certain services rendered by Lommen Abdo, P.A., its shareholders, associates, paralegals, legal assistants and other employees to Sharon L. Nelson, Norrine P. Nelson, and John R. Nelson of the nature and character of professional services rendered and costs advanced from on or about April 27, 2016 through November 29, 2016, in the representation of said heirs in the probate district court matter captioned above. The invoices and accounting for the services rendered and costs incurred were previously provided to counsel for the Nelsons on May 3, 2017 by the undersigned. This Notice of Intent will additionally be filed with the Minnesota Secretary of State pursuant to Minn. Stat. § 481.13, Subd. 2(b). The services and costs rendered to heirs Sharon L. Nelson, Norrine P. Nelson, and John R. Nelson by Lommen Abdo have a reasonable value of **\$214,652.11** and which sum remains unpaid as of the date hereof.

Creditor's address is:

LOMMEN ABDO, P.A.  
1000 International Centre  
920 Second Avenue South  
Minneapolis, Minnesota 55402  
Telephone: 612-339-8131

Debtors' addresses are:

\*Confidential\*

  
Barry A. O'Neil



# **EXHIBIT 7**

**Filing Number: 1043644600022**  
**Date: 11/01/2018**  
**Time: 2:17 PM**  
**STATE OF MINNESOTA**  
**Office: Office of the Minnesota**  
**Secretary of State**

## UCC3 - Debtor Change - UCC Financing Statement

---

**ORIGINAL FILING NUMBER:** 1030571100033

---

**ORIGINAL FILING DATE:** 09/04/2018

---

**RETURN ACKNOWLEDGEMENT TO:**

Janice Hanson  
 1000 International Centre  
 Minneapolis, MN 55402

---

### DEBTOR INFORMATION

INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDL NAME(S), INITIAL(S)		
Nelson	John	R.		
MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY
8008 Yates Ave N	Brooklyn Park	MN	55443	USA

---

### CURRENT RECORD INFORMATION

INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL(S)INITIAL(S)
Nelson	John	L.

---

### AUTHORIZING PARTY

INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL(S)INITIAL(S)
O'Neil	Barry	A.

---